

July 12, 2018

Ms. Christine Sippl Encompass Community Services 380 Encinal Street, Suite 200 Santa Cruz, CA 95060

Re: Geotechnical Investigation

141/161 Miles Lane & 201 Kimberly Lane – Watsonville, California

SFB Project No.: 824-1

Ms. Sippl:

As requested, Stevens, Ferrone & Bailey Engineering Company, Inc. has performed a geotechnical investigation for the proposed new mixed-use facility to be located at the eastern corner of Miles Lane and Kimberly Lane in Watsonville, California. The accompanying report presents the results of our field investigation, laboratory tests, and engineering analysis. The geotechnical conditions are discussed, and recommendations for the geotechnical engineering aspects of the project are presented. Conclusions and recommendations contained herein are based upon applicable standards of our profession at the time this report has been prepared. Should you have any questions or require additional information, please do not hesitate to contact me.

Sincerely,

Stevens, Ferrone & Bailey Engineering Company, Inc.

Ken Ferrone President

HP/OL/KCF/JB:lc\encl.

Copies: Addressee (1 by email)



July 12, 2018

GEOTECHNICAL INVESTIGATION MIXED USE FACILITY MILES LANE & KIMBERLY LANE WATSONVILLE, CALIFORNIA SFB PROJECT NO. 824-1

Prepared For:

Encompass Community Services 380 Encinal Street, Suite 200 Santa Cruz, CA 95060

Prepared By:

Stevens, Ferrone & Bailey Engineering Company, Inc.

Jonathan Bailey, P.E., G.E.

Civil/Geotechnical Engineer



Kenneth C. Ferrone, P.E., G.E., C.E.G. Civil/Geotechnical Engineer Certified Engineering Geologist





Table of Contents

INT	RODUCTION	1
SCO	PE OF WORK	2
SITE	E INVESTIGATION	3
3.1	Surface	3
3.2		
3.3	Groundwater	4
3.4	Hydrologic Soil Group	4
3.5	•	
3.4	Liquefaction	6
CON	NCLUSIONS AND RECOMMENDATIONS	8
4.1	Earthwork	10
	4.1.1 Clearing and Site Preparation	10
	4.1.2 Existing Fill Re-Compaction	11
	4.1.3 Weak Soil Re-Compaction	11
	4.1.4 Building Pads	11
	4.1.6 Fill Material	12
	4.1.7 Compaction	12
	•	
	•	
	4.1.11 Construction During Wet Weather Conditions	15
	<u> </u>	
4.2		
	**	
4.3	8	
COND	DITIONS AND LIMITATIONS	23
	SCO SITI 3.1 3.2 3.3 3.4 3.5 3.4 CON 4.1	3.2 Subsurface 3.3 Groundwater 3.4 Hydrologic Soil Group 3.5 Geology and Seismicity 3.4 Liquefaction CONCLUSIONS AND RECOMMENDATIONS 4.1 Earthwork 4.1.1 Clearing and Site Preparation 4.1.2 Existing Fill Re-Compaction 4.1.3 Weak Soil Re-Compaction 4.1.4 Building Pads 4.1.5 Subgrade Preparation 4.1.6 Fill Material 4.1.7 Compaction 4.1.8 Utility Trench Backfill 4.1.9 Exterior Flatwork 4.1.10 Storm Water Runoff Structures 4.1.11 Construction During Wet Weather Conditions 4.1.12 Surface Drainage, Landscaping, and Irrigation 4.1.13 Future Maintenance 4.1.14 Additional Recommendations 4.2 Foundation Support 4.2.1 Buildings 4.2.2 Retaining Walls and Soundwalls

July 12, 2018

TABLE OF CONTENTS

(Continued)

FIGURES

1 Site Plan

APPENDICES

A	Field Investigation	A-1
	Figure A-1, Key to Exploratory Boring Logs	
	Exploratory Boring Logs (SFB-1 through SFB-12)	
В	Laboratory Investigation	B-1
C	ASFE Guidelines	C-1

1.0 INTRODUCTION

This report presents the results of our geotechnical investigation for the proposed new mixed-use facility to be located at the eastern corner of Miles Lane and Kimberly Lane in Watsonville, California as shown on the Site Plan, Figure 1. The purpose of our investigation was to evaluate the geotechnical conditions at the site and provide recommendations regarding the geotechnical engineering aspects of the project.

Based on the information indicated on the Site Plan, as well as information provided by Ms. Christine Sippl of Encompass Community Services and Mr. Carlos Jurado of MidPen Housing, it is our understanding that the project will likely consist of demolition of existing site improvements and construction of a number of new buildings, including a one- to two-story drug treatment facility, a child care building, a community building, and four residential buildings which will include 6 studio, 14 one-bedroom, 10 two-bedroom, and 10 three-bedroom apartments. The residential buildings will likely consist of two-stories of Type V wood frame construction above carports. Associated underground utilities, parking, and access driveways are planned. Existing tenants will be relocated during construction on the site. Cuts and fills on the order of 10 to 15 feet is expected to be necessary for the planned improvements.

The elevations described in this report and shown on the boring logs are based upon the elevations (datum unknown) shown on the conceptual plan prepared by Wald Ruhnke & Dost Associates (WR&D). Figure 1 shows the WR&D conceptual plan and elevations.

The conclusions and recommendations provided in this report are based upon the information presented above. Stevens, Ferrone & Bailey Engineering Company, Inc. (SFB) should be consulted should any changes to the project occur to assess if the changes affect the validity of this report.

2.0 SCOPE OF WORK

As discussed in our proposal dated March 2, 2018, this investigation included the following scope of work:

- Reviewing published and unpublished geotechnical and geological literature relevant to the site;
- Performing reconnaissance of the site and surrounding area;
- Performing a subsurface exploration program, including drilling twelve exploratory borings to a maximum depth of about 21-1/2 feet;
- Performing laboratory testing of samples retrieved from the borings;
- Performing engineering analysis of field and laboratory data; and
- Preparation of this report.

The data obtained and the analyses performed were for the purpose of providing geotechnical design and construction criteria for site earthwork, underground utilities, building foundations, retaining and basement walls, and pavements. Toxicity potential assessment of onsite materials, soils, or groundwater (including mold) and flooding evaluations were beyond our scope of work.

3.0 SITE INVESTIGATION

Reconnaissance of the site and surrounding area was performed on June 12 & 13, 2018. Subsurface exploration was performed using a track-mounted drill rig equipped with 7-inch diameter continuous flight hollow stem augers. Twelve (12) exploratory borings were drilled on May 12 & 13, 2018 to maximum depth of about 21½ feet using a Geoprobe 7822DT drill rig. The approximate locations of our borings are shown on the Site Plan, Figure 1. Logs of our borings and details regarding our field investigation are included in Appendix A. The results of our laboratory tests are discussed in Appendix B. The borings were backfilled with the soil cuttings in accordance with Santa Cruz County Environmental Health requirements prior to leaving the site.

3.1 Surface

At the time of our investigation, and as shown on Figure 1, the site was bounded by Miles Lane on the north, open space with a drainage swale to the east, a residential trailer park to the south, and Kimberly Lane to the west. The site was irregular in shape and had a plan area of about 3.5 acres with maximum dimensions of about 467 feet by 325 feet. From the high point (about elevation 85 feet (datum unknown) near the northwest corner of the site, the site surface sloped gently downwards towards the east and southeast with slope inclinations varying from about 12:1 (horizontal to vertical) at the northwest corner of the site to a maximum inclination of about 4:1 towards the eastern edge of the site. The western portion of the site was occupied by an existing drug treatment center with housing and parking lots and was generally covered with flat work concrete and asphalt concrete. Multiple planter areas with trees of small to large diameter and shrubs were observed throughout the drug treatment center. The northern portion of the site was occupied by multiple residential houses, with some of them occupied and some were vacant. The eastern and southern portions of the site were undeveloped and overgrown with weeds. A drainage swale was present along the eastern border of the site. The observed surface soils were weak and desiccated.

3.2 Subsurface

With the exception of near-surface fill materials encountered below pavement at Boring SFB-12, our borings encountered native alluvial materials to the maximum depths explored of 21 ½ feet. At Boring SFB-12, firm undocumented high plasticity clayey fill was encountered that extended to a depth of about 3 feet below the existing ground surface. As it not known to what degree the existing fill was compacted, it is expected that undocumented fill on the site is variable and potentially weak and compressible if it was not placed and compacted in accordance with acceptable engineering standards. Below fill, Boring SFB-12 encountered stiff to very stiff, lean

clay with varying percentages of silt and sand. Borings SFB-1 through SFB-9 predominantly encountered stiff to very stiff, high plasticity clay (CH) with varying percentages of sand and silt within 8 feet of the surface, below which stiff to very stiff lean clay (CL) with varying percentages of sand and silt and lenses of silty to poorly graded sand up to 8 feet thick were encountered to the maximum depths explored. Borings SFB-10 and SFB-11 encountered native medium dense clayey sand with gravel to between 6 and 9 feet of the surface below which stiff to hard lean clay with sand and silt was encountered to the maximum depth explored of $21\frac{1}{2}$ feet.

According to the results of laboratory testing, some of the near-surface more clayey materials have a high plasticity and high to critical expansion potential whereas other near-surface clays have medium plasticity and moderate expansion potential. Detailed descriptions of the materials encountered in our exploratory borings are presented on the boring logs in Appendix A. Our attached boring logs and related information depict location specific subsurface conditions encountered during our field investigation. The approximate locations of our borings were determined using pacing or landmark references and should be considered accurate only to the degree implied by the method used.

It should be noted that changes in the surface and subsurface conditions can occur over time as a result of either natural processes or human activity and may affect the validity of the conclusions and recommendations in this report.

3.3 Groundwater

Groundwater was encountered in Boring SFB-7 at a depth of about 14 feet (approximate elevation 20 feet) at the end of drilling. No groundwater was encountered in other borings to the maximum depth explored of about 21½ feet. It should be noted that our borings may not have been left open for a sufficient period of time to establish equilibrium groundwater conditions. Fluctuations in groundwater elevations could occur due to seasonal variations including rainfall, and other factors.

It is likely that surface water levels within the drainage channel located adjacent and within the eastern portions of the site rise during rainy months and during periods of flooding. Water levels within the drainage channel may affect the groundwater levels at the site.

3.4 Hydrologic Soil Group

Surficial soils in the western corner of the site are mapped as Watsonville loam (2 to 15 percent slopes) and the remainder of the site is mapped as the Tierra-Watsonville complex (15 to 30 percent slopes) by USDA Web Soil Survey (WSS)¹. Both soils are assigned to Hydrologic Soil Group D

¹ https://websoilsurvey.sc.egov.usda.gov/App/WebSoilSurvey.aspx (accessed 7/10/2018)

by the USDA Natural Resources Conservation Service (NRCS). Group D soils have very slow infiltration rates (approximately 0.0 to 0.06 inches per hour), and high runoff potential during winter rains. Type D soils predominantly consist of clays that have a high shrink-swell potential, soils that have a high-water tables, have a claypan or clay layer at or near the surface, or shallow soils above bedrock or other impermeable soils.

3.5 Geology and Seismicity

According to Brabb (1997)², the site is underlain by Pleistocene fluvial facies (Qwf) which consists of semi-consolidated, moderately to poorly sorted silt, sand, silty clay, and gravel.

The project site is located in an area which is considered one of the most seismically active regions in the United States. Significant earthquakes that have occurred in the area are believed to be associated with crustal movements along a system of sub-parallel fault zones that generally trend in a northwesterly direction. According to the Alquist-Priolo Special Studies Zones Map of the Watsonville West Quadrangle, the site is not located in an earthquake fault zone as designated by the State of California³.

Earthquake intensities will vary throughout the San Francisco Bay Area, depending upon numerous factors including the magnitude of earthquake, the distance of the site to the earthquake epicenter, and the type of underlying materials. The U.S. Geological Survey (2016)⁴ has stated that there is a 72 percent chance of at least one magnitude 6.7 or greater earthquake striking the San Francisco Bay region between 2014 and 2043. Therefore, the site will probably be subjected to at least one moderate to severe earthquake that will cause strong ground shaking.

According to the U.S. Geological Survey's Unified Hazard Tool and using the Dynamic: Conterminous U.S. 2008 (v3.3.1) model (accessed 6/29/2018), the resulting deaggregation calculations indicate there is a 10% probability that the site will experience peak ground acceleration exceeding 0.51g in 50 years (design basis ground motion based on stiff soil site condition; mean return time of 475 years). The actual ground surface acceleration may vary depending upon the local seismic characteristics of the underlying bedrock and the overlying unconsolidated soils.

²Brabb, 1997, Geologic Map of Santa Cruz County, California, U.S. Geological Survey Open-File Report 97-489.

³State of California, Special Studies Zones, Watsonville West Quadrangle, Official Map, Effective: January 1,1976.

⁴Aagaard, Blair, Boatwright, Garcia, Harris, Michael, Schwartz, and DiLeo, *Earthquake Outlook for the San Francisco Bay Region 2014–2043*, USGS Fact Sheet 2016–3020, Revised August 2016 (ver. 1.1).

3.4 Liquefaction

Soil liquefaction is a phenomenon primarily associated with saturated, cohesionless, soil layers located close to the ground surface. These soils lose strength during cyclic loading, such as imposed by earthquakes. During the loss of strength, the soil acquires mobility sufficient to permit both horizontal and vertical movements. Soils that are most susceptible to liquefaction are clean, loose, uniformly graded, saturated, fine-grained sands that lie close to the ground surface. According to ABAG, the site is located in a region that has not been mapped for liquefaction potential^{5,6}. According to the U.S. Geological Survey, the site is underlain by Pleistocene sediments having a low liquefaction potential⁷.

SFB performed SPT-based liquefaction analyses based on procedures described by the Southern California Earthquake Center (SCEC, Martin and Lew, 1999), EERI Monograph 12 (2008)⁸, updated SPT based liquefaction triggering procedures (2014)⁹, and in accordance with the 2008 California Geological Survey's (CGS) Special Publication 117A guidelines. We also evaluated the liquefaction potential of silty soils encountered in our borings using criteria published by Andrews and Martin (2000)¹⁰. As required by the 2016 California Building Code (CBC), a peak ground acceleration from a Maximum Considered Earthquake (MCE) was used in our analyses; the MCE peak ground acceleration has a 2% probability of being exceeded in a 50-year period (mean return time of 2,475 years). Using the U.S. Geological Survey's 2008 hazard data model and applying the ASCE 7-10 Standard for risk category I/II/III (accessed 07/10/2018)¹¹, the Maximum Considered Earthquake geometric mean peak ground acceleration (PGA_m) for the site is 0.785g, with a mean earthquake magnitude of 7.2.

The results of our liquefaction analyses indicate that during the very low-probability occurrence that the sands encountered in Borings SFB-3 and SFB-7 near the bottom of the site are saturated when subjected to a Maximum Considered Earthquake (MCE) event, these isolated sand layers have a high potential to liquefy. The earthquake induced liquefaction in these sand lenses could

⁵Witter, Knudsen, Sowers, Wentworth, Koehler, and Randolph, 2006, *Maps of Quaternary Deposits and Liquefaction Susceptibility in the Central San Francisco Bay Region, California*", USGS Open File Report 2006-1037.

⁶Knudsen, Sowers, Witter, Wentworth, and Helly, 2000, "*Preliminary Maps of Quaternary Deposits and Liquefaction Susceptibility, Nine-County San Francisco Bay Region, California*", USGS Open File Report 00-444.

⁷ Dupre, William, 1975, *Maps Showing Geology and Liquefaction Potential of Quaternary Deposits in Santa Cruz County, California*, USGS Misc. Field Studies Map MF-648.

⁸ Idriss & Boulanger, 2008, *Soil Liquefaction During Earthquakes*, Earthquake Engineering Research Institute, MNO-12.

⁹ Boulanger & Idriss, 2014, *CPT and SPT Based Liquefaction Triggering Procedures*, Center for Geotechnical Modeling, Report No. UCD/CGM-14/01, April 2014.

¹⁰ Andrews and Martin, 2000, *Criteria for Liquefaction of Silty Soils*, paper presented during the 12th World Conference on Earthquake Engineering.

¹¹ https://earthquake.usgs.gov/designmaps/us/application.php?

result in residual volumetric strains on the order of 1%. We estimate that the liquefaction of these soils when saturated and subjected to an MCE event may cause total aerial ground surface settlements of about 1 inch. The actual ground surface, liquefaction induced damage will vary depending on the thickness of the overlying non-liquefiable soils and the underlying liquefiable soils¹².

We did not encounter liquefiable soils in the other borings performed onsite. It is likely that liquefiable soils (when they are saturated) are present in the area of the surface water drainage channel adjacent to the eastern portions of the site. It is also likely that these soils may only become saturated during the rainy season and/or when water levels are high within the drainage channel.

Loss of localized foundation bearing support (such as when footing foundations are used) can occur as a result of liquefaction created sand boils, ground cracks, and similar phenomena. In addition, underground pipelines (gas lines, sanitary sewers, water services, etc.) should be properly designed to compensate for the settlement caused by the liquefaction of the underlying supporting soils. It should be noted that after a major liquefaction event, phenomena such as sand boils, ground cracking, and differential movement of overlying improvements such as roadways and utilities will be observed.

As part of our analyses, we evaluated the potential for lateral spreading impacting the site. Lateral spreading occurs when soils liquefy during an earthquake event and the liquefied soils along with the overlying soils move laterally to unconfined spaces which causes significant horizontal ground displacements. As described above, if the sands encountered in Borings SFB-3 and SFB-7 near the bottom of the site are saturated when subjected to a Maximum Considered Earthquake (MCE) event (a very low probability event), these isolated sand layers have a high potential to liquefy which can result in lateral spreading. During such an event, lateral ground displacement on the order of many feet may be possible but it is likely to be confined to the eastern portion of the site.

¹²Ishihara, K., 1985, Stability of Natural Deposits During Earthquakes, Proceedings of the Eleventh International

Conference on Soil Mechanics and Foundation Engineering, San Francisco, CA Volume 1, p. 321-376, August.

4.0 CONCLUSIONS AND RECOMMENDATIONS

It is our opinion that the site is suitable for the proposed project from a geotechnical engineering standpoint. The conclusions and recommendations presented in this report should be incorporated in the design and construction of the project to reduce soil or foundation related issues. The following are the primary geotechnical considerations for development of the site.

EXISTING WEAK SURFACE SOILS AND FILLS: As previously discussed, although undocumented fill was only encountered in one of our borings (SFB-12) to a depth of about 3 feet, the extent of undocumented fill on the site is unknown and additional areas of fill may be encountered during grading. As there no records of fill placement or compaction, the relative compaction and strength of existing fill is unknown. In order to reduce the potential for damaging differential settlement of overlying improvements (such as new fills, building foundations, driveways, exterior flatwork, and pavements), we recommend that these fills be completely removed and re-compacted. The over-excavation should extend to depths where competent soil is encountered. The over-excavation and re-compaction should also extend at least 5 feet beyond building footprints and at least 3 feet beyond exterior flatwork (including driveways) and pavement wherever possible.

Over-excavations should be performed so that no more than 5 feet of differential fill thickness exists below the proposed building foundations. The removed fill materials can be used as new fill provided it is placed and compacted in accordance with the recommendations presented in this report. The extent of the removal and re-compaction will vary across the site and should be determined in the field by SFB at the time of the earthwork operations.

In addition, weak desiccated soils mantle the site and extend to depths of about 2 feet. In order to reduce the potential for damaging differential settlement of overlying improvements including new fill materials, we recommend that these weak soils, if not being removed by the proposed grading, be over-excavated and re-compacted. We estimate the process can consist of removing the upper 1 foot of weak soils, scarifying and re-compacting the bottom 12 inches in-place, and placing moisture-conditioned, compacted engineered fill over the properly prepared subgrade. The actual depth and lateral extent of removal and replacement should be determined in the field by SFB at the time of the earthwork operations.

DIFFERENTIAL EXPANSION POTENTIAL: Our borings encountered both moderately and critically expansive clayey soils and fills near the surface. In order to provide a more uniform subgrade and reduce the potential for damaging differential movement of building foundations and flatwork, we recommend the proposed grading be performed so that each building foundation and surrounding flatwork be supported on fills with similar expansion potential. We recommend a

layer at least 3 feet thick of well-mixed, moisture conditioned, and well blended engineered fill be provided below all building foundations and surrounding flatwork. The compacted, engineered fill layer should extend at least 5 feet beyond building footprints and at least 3 feet beyond exterior flatwork, including driveways. Our representative should be onsite during over-excavation and replacement to observe and test fill placement operations. The actual depth and lateral extent of removal and replacement should be determined in the field by SFB at the time of the earthwork operations.

Clayey fill and native soils will be subjected to volume changes during seasonal fluctuations in moisture content. To reduce the potential for post-construction distress to the proposed structures resulting from swelling and shrinkage of these materials, we recommend that the proposed residential structures be supported on post-tensioned slab foundations.

LIQUEFACTION AND LATERAL SPREADING: The results of our liquefaction analyses indicate that during the very low-probability occurrence that the sands encountered in Borings SFB-3 and SFB-7 near the bottom of the site are saturated when subjected to a Maximum Considered Earthquake (MCE) event, these isolated sand layers have a high potential to liquefy. We estimate that the liquefaction of these soils when saturated and subjected to an MCE event may cause total aerial ground surface settlements of about 1 inch. We did not encounter liquefiable soils in the other borings performed onsite. It is likely that liquefiable soils (when they are saturated) are present in the area of the surface water drainage channel adjacent to the eastern portions of the site. It is also likely that these soils may only become saturated during the rainy season and/or when water levels are high within the drainage channel. If the sands encountered in Borings SFB-3 and SFB-7 near the bottom of the site are saturated when subjected to a Maximum Considered Earthquake (MCE) event, these isolated sand layers have a high potential to liquefy which can result in lateral spreading. During such an event, lateral ground displacement on the order of many feet may be possible but it is likely to be confined to the eastern portion of the site. Improvements in the eastern portion of the site near the existing drainage channel may experience movement as a result of this very low probability event.

CORROSION POTENTIAL: Two samples retrieved from the borings were tested for pH (ASTM D4972), chlorides (ASTM D4327), sulfates (ASTM D4327), sulfides (ASTM D4658M), resistivity at 100% saturation (ASTM G57), and Redox potential (ASTM D1498) for use in evaluating the potential for corrosion on concrete and buried metal such as utilities and reinforcing steel. The results of these tests are included in Appendix B. We recommend these test results be forwarded to your designers and contractors. Please be aware that we are not corrosion protection experts; we recommend corrosion protection measures be designed and constructed so that all concrete and metal is protected against corrosion for the life of the project. We also recommend additional testing be performed if the corrosion test results are deemed insufficient by the designers of the corrosion protection measures. Be aware that landscaping soils typically contain fertilizers

and other materials than can be highly corrosive to metals and concrete; landscaping soils commonly are in contact with foundations. Consideration should be given to testing the corrosion potential characteristics of proposed landscaping soils and other types of imported or modified soils and forwarding the results to your corrosion protection designers and installers.

ADDITIONAL RECOMMENDATIONS: Detailed earthwork, foundation, and pavement repair recommendations for use in design and construction of the project are presented below. We recommend SFB review the design and specifications to verify that the recommendations presented in this report have been properly interpreted and implemented in the design, plans, and specifications. We also recommend SFB be retained to provide consulting services and to perform construction observation and testing services during the construction phase of the project to observe and test the implementation of our recommendations, and to provide supplemental or revised recommendations in the event conditions different than those described in this report are encountered. We assume no responsibility for misinterpretation of our recommendations.

4.1 Earthwork

4.1.1 Clearing and Site Preparation

Where necessary, the site should be cleared of all obstructions including any existing utility pipes and their backfill, existing structures and their foundations, designated trees and shrubs and their associated root systems, pavements, concrete, and debris. Holes resulting from the removal of underground obstructions extending below the proposed finish grade should be cleared and backfilled with fill materials as specified in **Section 4.1.6**, *Fill Material*, and compacted to the requirements in **Section 4.1.7**, *Compaction*. Tree roots may extend to depths of about 3 to 4 feet. Wells, if any, should be abandoned in accordance with Santa Cruz County standards.

From a geotechnical standpoint, any existing fill materials, trench backfill materials, clay or concrete pipes, pavements, baserock, and concrete that are removed can be used as new fill onsite provided debris is removed and it is broken up to meet the size requirement for fill material in **Section 4.1.6**, *Fill Material*. We recommend fill materials composed of broken up concrete or asphalt concrete not be located within 3 feet of the ground surface in yard areas. Consideration should be given to placing these materials below pavements, directly under building footprints, or in deeper excavations. We recommend backfilling operations for any excavations be performed under the observation and testing of SFB.

At least two weeks prior to grading, areas containing surface vegetation should be mowed and the cut grasses and weeds removed from the site or stockpiled for use in landscaping. After mowing, the site should be disced. Portions of the site containing heavy surface vegetation that is not removed by discing should be stripped to an appropriate depth to remove these materials. The

amount of actual stripping should be determined in the field by SFB at the time of construction. Stripped materials should be removed from the site or stockpiled for later use in landscaping, if desired.

4.1.2 Existing Fill Re-Compaction

As previously discussed, although undocumented fill was only encountered in one of our borings (Boring SFB-12) to a depth of about 3 feet, the extent of undocumented fill on the site is unknown and additional areas of fill may be encountered during grading. We recommend that the existing fills be completely removed and re-compacted. The over-excavation should extend to depths where competent soil is encountered. The over-excavation and re-compaction should also extend at least 5 feet beyond building footprints and at least 3 feet beyond exterior flatwork (including driveways) and pavement wherever possible. Where over-excavation limits abut adjacent property, SFB should be consulted to determine the actual vertical and lateral extent of over-excavation so that adjacent property is not adversely impacted. Over-excavations should be performed so that no more than 5 feet of differential fill thickness exists below the proposed building foundations. The removed fill materials can be used as new fill provided it is placed and compacted in accordance with the recommendations presented in this report. The extent of the removal and re-compaction will vary across the site and should be determined in the field by SFB at the time of the earthwork operations.

Removed fill and soil materials may be used as new fill onsite provided it satisfies the recommendations provided in **Section 4.1.6**, *Fill Material*. Compaction should be performed in accordance with the recommendations in **Section 4.1.7**, *Compaction*.

4.1.3 Weak Soil Re-Compaction

Weak desiccated soils mantle the site and extend to depths of about 2 feet. In order to reduce the potential for damaging differential settlement of overlying improvements including new fill materials, we recommend that these weak soils, if not being removed by the proposed grading, be over-excavated and re-compacted. We estimate the process can consist of removing the upper 1 foot of weak soils, scarifying and re-compacting the bottom 12 inches in-place, and placing moisture-conditioned, compacted engineered fill over the properly prepared subgrade. The actual depth and lateral extent of removal and replacement should be determined in the field by SFB at the time of the earthwork operations.

4.1.4 Building Pads

After all grading is completed, the resulting compacted fill below building pads should not exceed 5 feet in differential fill thickness. Over-excavation of native soils during grading may need to be performed in order to satisfy this recommendation. The lateral extent of over-excavation of native

soils should be determined based upon a 1:1 line projected downward from the outermost edge of the planned foundation.

4.1.5 Subgrade Preparation

After the completion of clearing and site preparation, soil exposed in areas to receive improvements such as structural fill, slabs-on-grade, and new pavement should be scarified to a depth of about 12 inches, moisture conditioned approximately 3 to 5 percent over optimum water content and compacted to the requirements for structural fill.

If subgrade is allowed to remain exposed to sun, wind or rain for an extended period of time, or are disturbed by borrowing animals, the exposed subgrade may need to be reconditioned (moisture conditioned and/or scarified and re-compacted) prior to slab-on-grade or pavement construction. SFB should be consulted on the need for subgrade reconditioning when the subgrade is left exposed for extended periods of time.

4.1.6 Fill Material

From a geotechnical and mechanical standpoint, onsite soils having an organic content of less than 3 percent by volume can be used as fill. Fill should not contain rocks or lumps larger than 6 inches in greatest dimension with not more than 15 percent larger than 2.5 inches. If required, imported fill should have a plasticity index of 25 or less and have a significant amount of cohesive fines.

In addition to the mechanical properties specifications, all imported fill material should have a resistivity (100% saturated) no less than the resistivity for the onsite soils, a pH of between approximately 6.0 and 8.5, a total water-soluble chloride concentration less than 300 ppm, and a total water-soluble sulfate concentration less than 500 ppm. We recommend import samples be submitted for corrosion and geotechnical testing at least two weeks prior to being brought onsite.

4.1.7 Compaction

We recommend structural fill be compacted to at least 90 percent relative compaction, as determined by ASTM D1557 (latest edition). We recommend the new fill be moisture conditioned approximately 3 to 5 percent over optimum water content. The upper 6 inches of subgrade soils beneath pavements should be compacted to at least 95 percent relative compaction. Fill material should be spread and compacted in lifts not exceeding approximately 8 to 12 inches in uncompacted thickness.

4.1.8 Utility Trench Backfill

Pipeline trenches should be backfilled with fill placed in lifts of approximately 8 to 12 inches in un-compacted thickness. Thicker lifts can be used provided the method of compaction is approved

by SFB and the required minimum degree of compaction is achieved. Backfill should be placed by mechanical means only. Jetting is not permitted.

Onsite trench backfill should be compacted to at least 90 percent relative compaction. Imported sand trench backfill should be compacted to at least 95 percent relative compaction and sufficient water should be added during backfilling operations to prevent the soil from "bulking" during compaction. The upper 3 feet of trench backfill in slab and pavement areas should be entirely compacted to at least 95 percent relative compaction. To reduce piping and settlement of overlying improvements, we recommend rock bedding and rock backfill (if used) be completely surrounded by a filter fabric such as Mirafi 140N (or equivalent); alternatively, filter fabric would not be necessary if Caltrans Class 2 permeable material is used in lieu of rock bedding and rock backfill.

Sand or gravel backfilled utility trenches that extend toward slabs-on-grade should be plugged with onsite clays, low strength concrete, or sand/cement slurry. The plug for the trenches should be located below the edge of slabs. The plug should be at least 24 inches thick, extend across the entire width of the trench, and extend from the bottom of the trench to the top of the sand or gravel backfill.

Where trenches are sloped 5 percent or steeper, we recommend a low permeability plug composed of low strength concrete, sand/cement slurry, or onsite clays be installed in the trench every 50 feet on-center. The plug will reduce piping from water seepage that may cause surface settlement. The plug should be at least 12 inches thick, extend at least 1 foot beyond the edges and bottom of the trench, and extend to within 1 foot of the finished ground surface or to the base of the pavement section.

4.1.9 Exterior Flatwork

We recommend that exterior concrete slabs (such as walkways, driveways, and patios) be placed directly on the properly compacted fills. We do not recommend using aggregate base, gravel, or crushed rock below these improvements. If imported granular materials are placed below these elements, subsurface water can seep through the granular materials and cause the underlying soils to heave, saturate, and/or pipe. Prior to placing concrete, subgrade soils should be moisture conditioned to increase their moisture content approximately 3 to 5 percent above laboratory optimum moisture content (ASTM D-1557).

The more expansive clayey soils at the site could be subjected to volume changes during fluctuations in moisture content. As a result of these volume changes, some vertical movement of exterior slabs should be anticipated. This movement could result in damage to the exterior slabs and might require periodic maintenance or replacement. Adequate clearance should be provided between the exterior slabs and structure elements that overhang these slabs.

We recommend reinforcing exterior slabs with steel bars in lieu of wire mesh. To reduce potential crack formation, the installation of #4 bars spaced at approximately 18 inches on center in both directions should be used. Score joints and expansion joints should be used to control cracking and allow for expansion and contraction of the concrete slabs. We recommend appropriate flexible, relatively impermeable fillers be used at all cold/expansion joints. The installation of dowels at all expansion and cold joints will reduce differential slab movements; the dowels should be at least 30 inches long and should be spaced at a maximum lateral spacing of 18 inches. Although exterior slabs that are adequately reinforced will still crack, trip hazards requiring replacement of the slabs will be reduced if the slabs are properly reinforced.

4.1.10 Storm Water Runoff Structures

To satisfy local and state permit requirements, most new development projects must control pollutant sources and reduce, detain, retain, and treat specified amounts of storm water runoff. The types of improvements that are designed to accomplish these goals are known as Post-Construction Requirements (PCR's) and/or Low Impact Development (LID). The intent of these types of improvements is to conserve and incorporate on-site natural features, together with constructed hydrologic controls, to more closely mimic pre-development hydrology and watershed processes.

We recommend PCR/LID improvements that are designed to detain or retain water such as bioswales, porous pavement structures, and water detention basins, be lined with a relatively impermeable membrane or be totally enclosed within a concrete box in order to reduce water seepage and the potential for damage to other infrastructure improvements (such as pavements, foundations, and walkways). Where concrete will not line the bottom of the bio-retention basins, we recommend a relatively impermeable membrane such as STEGO Wrap 15-mil or equivalent be installed below and along the sides of these facilities that direct the collected water into subdrain pipes. The membrane should be lapped and sealed in accordance with the manufacture's specifications, including taping joints where pipes penetrate the membrane.

The soil filter materials within basins and swales will consolidate over time causing long-term ground surface settlement. Additional filling within the basins and swales over time may be needed to maintain design surface elevations. The soil filter materials and associated compaction requirements should be specified by the Civil Engineer and shown in detail on the grading and improvement plans.

Sidewalls of earthen swales and basins steeper than 2:1 (horizontal to vertical) will experience downward and lateral movements that can cause significant ground surface movements, including movement of adjacent improvements such as foundations, utilities, pavements, driveways, walkways, and curbs and gutters. The magnitude and rate of movement depends upon the swale

and basin backfill material type and compaction. To reduce the potential for damaging movements, we recommend 2:1 sidewall slopes be used for earthen swales and basins, sidewalks be setback at least 1 foot from the top of the slope, creep sensitive improvements (such as roadway curbs) be setback at least 5 feet from the top of the slopes, or the slopes/sidewalls be appropriately restrained using an engineered system, such as deepened curbs that are designed to resist lateral earth pressures and act as a retaining wall. SFB should be consulted to evaluate the need for sidewall restraint when swales or basins are planned.

4.1.11 Construction During Wet Weather Conditions

If construction proceeds during or shortly after wet weather conditions, the moisture content of the onsite soils and fills could be significantly above optimum. Consequently, subgrade preparation, placement and/or reworking of onsite soil or fills as structural fill might not be possible. Alternative wet weather construction recommendations can be provided by our representative in the field at the time of construction, if appropriate. All the drainage measures recommended in this report should be implemented and maintained during and after construction, especially during wet weather conditions.

4.1.12 Surface Drainage, Landscaping, and Irrigation

Ponding of surface water must not be allowed on pavements, adjacent to foundations, and at the top or adjacent to retaining walls. Ponding of water should also not be allowed on the ground surface adjacent to or near exterior slabs, including driveways, walkways, and patios. Surface water should not be allowed to flow over retaining walls.

We recommend positive surface gradients of at least 2 percent be provided adjacent to foundations to direct surface water away from the foundations and toward suitable discharge facilities. Roof downspouts and landscaping drainage inlets should be connected to solid pipes that discharge the collected water into appropriate water collection facilities. We recommend the surface drainage be designed in accordance with the latest edition of the California Building Code.

In order to reduce differential foundation movements, landscaping (where used) should be placed uniformly adjacent to the foundation and exterior slabs. We recommend trees be no closer to the structure or exterior slabs than half the mature height of the tree; in no case should tree roots be allowed to extend near or below foundations or exterior slabs.

Drainage inlets should be provided within enclosed planter areas and the collected water should be discharged onto pavement, into drainage swales, or into storm water collection systems. In order to reduce the potential for heaving, we recommend lining planting areas and collecting the accumulated surface water in subdrain pipes that discharge to appropriate collection facilities. The drainage should be designed and constructed so that the moisture content of the soils surrounding

the foundations do not become elevated and no ponding occurs. The inlets should be kept free of debris and be lower in elevation than the adjacent ground surface.

We recommend regular maintenance of the drainage systems be performed, including maintenance prior to rainstorms. The inspection should include checking drainage patterns to make sure they are performing properly, making sure drainage systems and inlets are functional and not clogged, and checking that erosion control measures are adequate for anticipated storm events. Immediate repairs should be performed if any of these measures appears to be inadequate.

Irrigation should be performed in a uniform, systematic manner as equally as possible on all sides of the foundations and exterior slabs to maintain moist soil conditions. Over-watering must be avoided. To reduce moisture changes in the natural soils and fills in landscaped areas, we recommend that drought resistant plants and low flow watering systems be used. All irrigation systems should be regularly inspected for leakage.

4.1.13 Future Maintenance

We recommend regular maintenance of the site be performed, including maintenance prior to rainstorms. Maintenance should include the re-compaction of loosened soils, collapsing and infilling holes with compacted soils or low strength sand/cement grout, removal and control of digging animals, modifying storm water drainage patterns to allow for sheet flow into drainage inlets or ditches rather than concentrated flow or ponding, removal of debris within drainage ditches and inlets, and immediately repairing any erosion or soil flow. The inspection should include checking drainage patterns, making sure drainage systems are functional and not clogged, and erosion control measures are adequate for anticipated storm events. Immediate repair should be performed if any of these measures appears to be inadequate. Temporary and permanent erosion and sediment control measures should be installed over any exposed soils immediately after repairs are made.

Differential movement of exterior slabs can occur over time as a result of numerous factors. We recommend the development owners perform inspections and maintenance of the slabs, including infilling significant cracks, providing fillers at slab offsets, and replacing slabs if severely damaged.

4.1.14 Additional Recommendations

We recommend the drainage, irrigation, landscaping, and maintenance recommendations provided in this report be forwarded to your designers and contractors, and we recommend they be included in disclosure statements given to property owners and their maintenance associations.

4.2 Foundation Support

4.2.1 Buildings

The proposed buildings can be supported on post-tensioned slab foundations that are designed for the expansion potential of the onsite soils. The post-tensioned slab foundations should bear entirely on properly prepared, compacted structural fill. In no case should a slab foundation bear upon fills with differential expansion characteristics. Recommendations for building pad preparation are described previously in **Sections 4.1.2, 4.1.3, 4.1.4, and 4.1.5**. Prior to the concrete pour, we recommend the moisture content of the subgrade materials be at least 3 percent above laboratory optimum moisture. If the building pads are left exposed for an extended period of time prior to constructing foundations, we recommend SFB be contacted for recommendations to recondition the pads in order provide adequate building support.

The post-tensioned slab thickness should be determined by the Structural Engineer, however we recommend the post-tensioned slabs be at least 10 inches thick. An allowable bearing pressure of 1,500 pounds per square foot can be used to evaluate column load support provided the slab is thickened, the thickened area is at least 12 inches wide, and the thickened slab section is supported directly on the underlying, properly prepared building pad subgrade. Thickening (turning down) of slab edge may be necessary to adequately provide support at the perimeter. Slab thickening will also be necessary at hold-down anchor locations.

Deflection of the slab foundations should not exceed the values recommended in the most recent PTI Manual. Lateral loads, such as derived from earthquakes and wind, can be resisted by friction between the post-tensioned slab foundation bottom and the supporting subgrade. A friction coefficient of 0.25 is considered applicable.

At least 10 feet of cover should be provided between the outer slab face and un-retained slope faces, as measured laterally between slope face and slabs. Where less than 10 feet of cover exists, deepening slab edges of may be necessary in order to achieve 10 feet of cover for buildings located near the tops of slopes. Where slabs are located adjacent to utility trenches, the slab bearing surface should bear below an imaginary 1 horizontal to 1 vertical plane extending upward from the bottom edge of the adjacent utility trench. Alternatively, the slab reinforcing could be increased to span the area defined above assuming no soil support is provided.

A vapor retarder must be placed between the subgrade soils and the bottom of the slabs-on-grade. We recommend the vapor retarder consist of a single layer of Stego Wrap Vapor Barrier 15 mil Class A or equivalent provided the equivalent satisfies the following criteria: a permeance as tested before and after mandatory conditioning of less than 0.01 Perms and strength of Class A as determined by ASTM E 1745 (latest edition), and a thickness of at least 15 mils. Installation of

the vapor retarder should conform to the latest edition of ASTM E 1643 (latest edition) and the manufacturers requirements, including lapping all joints least 6 inches and sealing with Stego Tape or equal in accordance with the manufacturer's specifications. Protrusions where pipes or conduit penetrate the membranes should be sealed with either one or a combination of Stego Tape, Stego Mastic, Stego Pipe Boots, or a product of equal quality as determined by the manufacturer's instructions and ASTM E 1643. Care must be taken to protect the membrane from tears and punctures during construction. We do not recommend placing sand or gravel over the membrane.

Concrete slabs retain moisture and often take many months to dry; construction water added during the concrete pour further increases the curing time. If the slabs are not allowed to completely cure prior to constructing the super-structure, the concrete slabs will expel water vapor and the vapor will be trapped under impermeable flooring. The concrete mix design for the slabs should have a maximum water/cement ratio of 0.45; the actual water/cement ratio may need to be reduced if the concentration of soluble sulfates or chlorides in the supporting subgrade is detrimental to the concrete and reinforcing steel. The results of sulfate and chloride testing of four onsite soil samples are included in Appendix B. We recommend you consult with your concrete slab designers and concrete contractors regarding methods to reduce the potential for differential concrete curing.

An experienced Structural Engineer should design the post-tensioned slabs to resist the differential soil movement. The soil design parameters presented below were generated using the procedures presented in the Post-Tensioning Institute (PTI) design manual and PTI published specifications, and the PTI preferred computer program VOLFLO was employed to simulate the wetting and drying scenarios of the soils beneath the post-tensioned slabs.

The values provided below are based upon the post-tensioned slab foundations being entirely surrounded by uniform, properly drained, moderately irrigated landscaping; if differing conditions will exist that will cause differential soil moisture adjacent or below the slabs, or if portions of the foundations will be located adjacent to relatively dry or wet soils, then we should be consulted and modifications to the values below would need to be modified in writing. Please refer to **Section 4.1.12**, *Surface Drainage*, *Irrigation*, *and Landscaping*, for additional recommendations. We recommend the slab-subgrade friction values provided in the most recent PTI Manual be used in order to determine the friction that might be expected to exist during tendon stressing.

SWELLING MODE

	Center Lift	Edge Lift
Edge Moisture Variation Distance (e _m)	9.0 feet	5.0 feet
Differential Soil Movement (y _m)	0.5 inch	1.5 inch

We recommend SFB review the foundation drawings and specifications prior to submittal to verify that the recommendations provided in this report have been used and properly interpreted in the design of the slabs.

4.2.2 Retaining Walls and Soundwalls

Where walls retain soil, they must be designed to resist both lateral earth pressures and any additional lateral loads caused by surcharging such as building and roadway loads. Where concrete or masonry walls are used to retain soil, we recommend unrestrained walls (walls free to deflect and disconnected from other structures) be designed to resist an equivalent fluid pressure of 50 pounds per cubic foot. This assumes a level backfill. Restrained walls (walls restrained from deflection) should be designed to resist an equivalent fluid pressure of 50 pounds per cubic foot plus a uniform pressure of 10H pounds per square foot, where H is the height of the wall in feet. Walls with inclined backfill should be designed for an additional equivalent fluid pressure of 1 pound per cubic foot for every 1 degree of slope inclination. Walls subjected to surcharge loads should be designed for an additional uniform lateral pressure equal to one-third and one-half the anticipated surcharge load for unrestrained and restrained walls, respectively. These lateral pressures depend upon the moisture content of the retained soils to be constant over time; if the moisture content of the retained soils will fluctuate or increase compared to the moisture content at time of construction, then SFB should be consulted and provide written modifications to this design criteria.

If segmental block walls with geogrid will be used at the site, SFB should be contacted to provide block wall and geogrid designs and specifications.

For retaining walls that need to resist earthquake induced lateral loads from nearby foundations, walls that are to be designed to resist earthquake loads, and any retaining walls that are higher than 6 feet (as required by the 2016 CBC), we recommend the walls also be designed to resist a triangular pressure distribution equal to an equivalent fluid pressure of 48 pounds per cubic foot. This seismic induced earth pressure is in addition to the pressures noted above. Due to the transient nature of the seismic loading, a factor of safety of at least 1.1 can be used in the design of the walls when they resist seismic lateral loads. Some movement of the walls may occur during moderate to strong earthquake shaking and may result in distress as is typical for all structures subjected to earthquake shaking.

The recommended lateral pressures assume walls are fully-back drained to prevent the build-up of hydrostatic pressures. This can be accomplished by using ½ to ¾ inch crushed, uniformly graded gravel entirely wrapped in filter fabric such as Mirafi 140N or equal (an overlap of at least 12 inches should be provided at all fabric joints). The gravel and fabric should be at least 8 inches

wide and extend from the base of the wall to within 12 inches of the finished grade at the top (Caltrans Class 2 permeable material (Section 68) may be used in lieu of gravel and filter fabric). A 4-inch diameter, perforated pipe should be installed at the base and centered within the gravel. The perforated pipe should be connected to a solid collector pipe that transmits the water directly to a storm drain, drainage inlet, or onto pavement. If weep holes are used in the wall, the perforated pipe within the gravel is not necessary provided the weep holes are kept free of animals and debris, are located no higher than approximately 6 inches from the lowest adjacent grade and are able to function properly. As an alternative to using gravel, drainage panels (such as AWD SITEDRAIN Sheet 94 for walls or equal) may be used behind the walls in conjunction with perforated pipe (connected to solid collector pipe), weep holes, or strip drains (such as SITEDRAIN Strip 6000 or equal). If used, the drainage panels can be spaced on-center at approximately 2 times the panel width.

If heavy compaction equipment is used behind the walls, the walls should be appropriately designed to withstand loads exerted by the heavy equipment and/or temporarily braced. Fill placed behind walls should conform to the recommendations provided in **Section 4.1.6**, *Fill Material*, and **Section 4.1.7**, *Compaction*.

Retaining walls and soundwalls can be supported by drilled, cast-in-place, straight shaft friction piers that develop their load carrying capacity in the materials underlying the site. The piers should have a minimum diameter of 12 inches and a center-to-center spacing of at least three times the shaft diameter. We recommend that piers be at least 6 feet long. The pier reinforcing should be based on structural requirements but in no case should less than two #4 bars for the entire length of the pier be used.

The actual design depth of the piers should be determined using an allowable skin friction of 500 pounds per square foot (psf) for dead plus live loads, with a one-third increase for all loads including wind or seismic. Seventy percent of the skin friction value can be used to resist uplift. Lateral load resistance can be developed in passive resistance for pier foundations. A passive resistance equal to an equivalent fluid weighing 350 pounds per cubic foot acting against twice the projected diameter of pier shafts can be used. The upper two feet of pier embedment should be neglected in the vertical and passive resistance design as measured from finished grade. The portion of the pier shaft located within 10 feet (as measured laterally) of the nearest slope face should also be ignored in the design.

We recommend the pier foundations be located outside of (or beyond) a 1:1 (horizontal to vertical) plane projected upward from the base of any wall or utility trench, or the portion of a pier located within this zone should be ignored in the design of the pier.

The bottoms of the pier excavations should be relatively dry and free of all loose cuttings or slough prior to placing reinforcing steel and concrete. Any accumulated water in pier excavations should be removed prior to placing concrete. We recommend that the excavation of all piers be performed under the direct observation of SFB to confirm that the pier foundations are founded in suitable materials and constructed in accordance with the recommendations presented herein. Preliminarily, we recommend concrete pours of pier excavations be performed within 24 hours of excavation and prior to any rainstorms. Where caving or high groundwater conditions exist, additional measures such as using casing, tremie methods, and pouring concrete immediately after excavating may be necessary. SFB should be consulted on the need for additional measures for pier construction as needed during construction.

4.2.3 Seismic Design Criteria

The following parameters were calculated using the U.S. Geological Survey's Seismic Design Map program¹³, and were based on the site being located at approximate latitude 39.921°N and longitude 121.764°W. For seismic design using the 2016 California Building Code (CBC), we recommend the following seismic design parameters be used.

2016 CBC SEISMIC PARAMETERS							
Seismic Parameter	CBC Reference						
Site Class	D	Section 1613.3.2					
Ss	2.037	Figure 1613.3.1(1)					
S_1	0.790	Figure 1613.3.1(2)					
Fa	1.0	Table 1613.3.3(1)					
$F_{\rm v}$	1.5	Table 1613.3.3(2)					

4.3 Pavements

4.3.1 Flexible Pavements

Based on the results of the exploratory borings and laboratory testing of onsite materials, we recommend that an R-value of 5 be used in asphalt concrete pavement design. We developed the following alternative preliminary pavement sections using Topic 608 of the State of California Department of Transportation Highway Design Manual, the recommended R-value, and typical traffic indices for residential developments. The project's Civil Engineer or appropriate public agency should determine actual traffic indices. The pavement thicknesses shown below are SFB's recommended minimum values; governing agencies may require pavement thicknesses greater than those shown.

¹³USGS Website, http://earthquake.usgs.gov/hazards/designmaps/usdesign.php, accessed 6/29/2018.

PAVEMENT DESIGN ALTERNATIVES SUBGRADE R-VALUE = 5							
	Total Thickness						
Location	Asphalt Concrete (inches)	(inches)					
T.I. = 4.5 (auto & light truck parking)	3.0	9.0	12.0				
T.I. = 5.0 (access ways)	3.0	11.0	14.0				
T.I. = 6.0 (primary roadways)	3.0	14.0	17.0				

If the pavements are planned to be placed prior to or during construction, the traffic indices and pavement sections may not be adequate for support of what is typically more frequent and heavier construction traffic. If the pavement sections will be used for construction access by heavy trucks or construction equipment (especially fork lifts with support footings), SFB should be consulted to provide recommendations for alternative pavement sections capable of supporting the heavier use and heavier loads. If requested, SFB can provide recommendations for a phased placement of the asphalt concrete to reduce the potential for mechanical scars caused by construction traffic in the finished grade. Preliminary pavement sections should be revised, if necessary, when actual traffic indices are known and pavement subgrade elevations are determined.

Pavement baserock and asphalt concrete should be compacted to at least 95 percent relative compaction. The asphalt concrete compacted unit weight should be determined using Caltrans Test Method 308-A or ASTM Test Method D1188. Asphalt concrete should also satisfy the S-value requirements by Caltrans.

4.3.2 Rigid Pavements

The analytical procedure used in our design of the rigid vehicular concrete pavement was the method published by the Portland Cement Association. A modulus of subgrade reaction of 85 pounds per square inch per inch was assigned to represent a reworked, onsite subgrade overlain by 6 inches of aggregate base. The modulus of rupture for concrete was assumed to be 550 pounds per square inch. Based on our analysis, we recommend the concrete slab for the trash enclosure consist of 6 inches of concrete overlying 6 inches of Caltrans Class 2 aggregate baserock. The concrete and baserock should be constructed in accordance with the appropriate specifications for pavements.

5.0 CONDITIONS AND LIMITATIONS

SFB is not responsible for the validity or accuracy of information, analyses, test results, or designs provided to SFB by others or prepared by others. The analysis, designs, opinions, and recommendations submitted in this report are based in part upon the data obtained from our field work and upon information provided by others. Site exploration and testing characterizes subsurface conditions only at the locations where the explorations or tests are performed; actual subsurface conditions between explorations or tests may be different than those described in this report. Variations of subsurface conditions from those analyzed or characterized in this report are not uncommon and may become evident during construction. In addition, changes in the condition of the site can occur over time as a result of either natural processes (such as earthquakes, flooding, or changes in ground water levels) or human activity (such as construction adjacent to the site, dumping of fill, or excavating). If changes to the site's surface or subsurface conditions occur since the performance of the field work described in this report, or if differing subsurface conditions are encountered, we should be contacted immediately to evaluate the differing conditions to assess if the opinions, conclusions, and recommendations provided in this report are still applicable or should be amended.

We recommend SFB be retained to provide geotechnical services during design, reviews, earthwork operations, paving operations, and foundation installation to confirm and observe compliance with the design concepts, specifications and recommendations presented in this report. Our presence will also allow us to modify design if unanticipated subsurface conditions are encountered or if changes to the scope of the project, as defined in this report, are made.

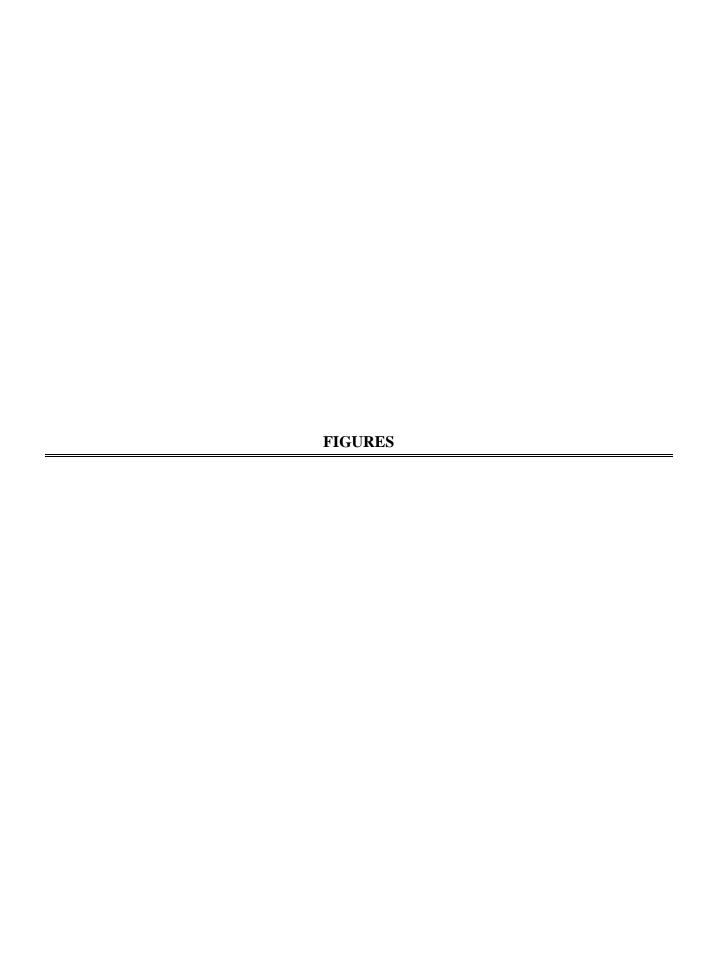
This report is a design document that has been prepared in accordance with generally accepted geological and geotechnical engineering practices for the exclusive use of Encompass Community Services and their consultants for specific application to the proposed new mixed-use facility located at the eastern corner of Miles Lane and Kimberly Lane in Watsonville, California, and is intended to represent our design recommendations to Encompass Community Services for specific application to the new mixed-use facility project. The conclusions and recommendations contained in this report are solely professional opinions. It is the responsibility of Encompass Community Services to transmit the information and recommendations of this report to those designing and constructing the project. We will not be responsible for the misinterpretation of the information provided in this report. We recommend SFB be retained to review geological and geotechnical aspects of the construction calculations, specifications, and plans; we should also be retained to participate in pre-bid and preconstruction conferences to clarify the opinions, conclusions, and recommendations contained in this report.

It should be understood that advancements in the practice of geotechnical engineering and engineering geology, or discovery of differing surface or subsurface conditions, may affect the validity of this report. SFB strives to perform its services in a proper and professional manner with reasonable care and competence but we are not infallible. Geological engineering and geotechnical engineering are disciplines that are far less exact than other engineering disciplines; therefore, we should be consulted if it is not completely understood what the limitations to using this report are.

In the event that there are any changes in the nature, design or location of the project, as described in this report, or if any future additions are planned, the conclusions and recommendations contained in this report shall not be considered valid unless we are contacted in writing, the project changes are reviewed by us, and the conclusions and recommendations presented in this report are modified or verified in writing. The opinions, conclusions, and recommendations contained in this report are based upon the description of the project as presented in the introduction section of this report.

This report does not necessarily represent all of the information that has been communicated by us to Encompass Community Services and their consultants during the course of this engagement and our rendering of professional services to Encompass Community Services. Reliance on this report by parties other than those described above must be at their own risk unless we are first consulted as to the parties' intended use of this report and only after we obtain the written consent of Encompass Community Services to divulge information that may have been communicated to Encompass Community Services. We cannot accept consequences for use of segregated portions of this report.

Please refer to Appendix C for additional guidelines regarding use of this report.







KEY

APPROXIMATE LOCATION SFB-12 OF SFB EXPLORATORY BORING (6/12/18 - 6/13/18)

APPROXIMATE PROJECT LIMIT

NOTE: Base map prepared by Wald Ruhnke & Dost Architects LLP and received 6/5/18.

APPROXIMATE SCALE: 1" = 50'

DATE **tevens** July 2018 PROJECT NO. 824-1

errone &

1600 Willow Pass Court Concord, CA 94520 Tel 925.688.1001 Fax 925.688.1005 www.SFandB.com

MILES LANE & KIMBERLY LANE Watsonville, California

SITE PLAN

FIGURE



Field Investigation

APPENDIX A

Field Investigation

Our field investigation for the proposed new mixed-use facility located at the eastern corner of Miles Lane and Kimberly Lane in Watsonville, California, consisted of surface reconnaissance and a subsurface exploration program. Reconnaissance of the site and surrounding area was performed on June 12 & 13, 2018. Subsurface exploration was performed using a track-mounted drill rig equipped with 7-inch diameter, continuous flight, hollow stem augers. Twelve exploratory borings were drilled to maximum depth of about 21-1/2 feet using a Geoprobe 7822DT drill rig. Our representative continuously logged the soils encountered in the borings in the field. The soils are described in general accordance with the Unified Soil Classification System (ASTM D2487). The logs of the borings as well as a key for the classification of the soil (Figure A-1) are included as part of this appendix.

Representative samples were obtained from our exploratory borings at selected depths appropriate to the investigation. Relatively undisturbed samples were obtained using a 3-inch O.D. split barrel sampler with liners, and disturbed samples were obtained using the 2-inch O.D. split spoon sampler. All samples were transmitted to our offices for evaluation and appropriate testing. Both sampler types are indicated in the "Sampler" column of the boring logs as designated in Figure A-1.

Resistance to sampler advancement was measured using blow counts, which were obtained by dropping a 140-pound auto-trip hammer through a 30-inch free fall. The samplers were driven 18 inches and the number of blows to advance the sampler each 6-inch interval was recorded. The blows per foot recorded on the boring logs represent the accumulated number of converted blows that were required to drive the last 12 inches, unless otherwise noted where greater resistance was encountered. The blow counts recorded on the boring logs have been converted to equivalent SPT field blow counts, but have not been corrected for overburden, silt content, or other factors.

The attached boring logs and related information show our interpretation of the subsurface conditions at the dates and locations indicated; it is not warranted that they are representative of subsurface conditions at other locations and times.

UNIFIED SOIL CLASSIFICATION SYSTEM

Major D	Major Divisions grf ltr Description M		Major Divisions		grf		Description		
	Gravel	\sim		Well-graded gravels or gravel sand mixtures, little or no fines Poorly-graded gravels or gravel		Silts		ML	sands or clayey silts with slight plasticity
	Gravelly	P ₀	1	sand mixture, little or no fines Silty gravels, gravel-sand-silt		And Clays		CL	Inorganic days of low to medium plasticity, gravelly days, sandy days, silty days, lean days
	Soils	וויי		mixtures		LL < 50		OL	Organic silts and organic silt-clays of low plasticity
Coarse Grained			GC	Clayey gravels, gravel-sand-clay mixtures	Soils		П		Inorganic silts, micaceous or
Soils			sw	Well-graded sands or gravelly sands, little or no fines	Cons	Silts	Щ	МН	diatomaceous fine or silty soils, elastic silts
	Sand And	***	SP	Poorly-graded sands or gravelly sands, little or no fines		And Clays LL > 50		СН	
	Sandy Soils		SM	Silty sands, sand-silt mixtures			*************************************	ОН	Organic clays of medium to high plasticity
			sc	Clayey sands, and-clay mixtures	Highly Organic Soils		<u> </u>	РТ	Peat and other highly organic soils

GRAIN SIZES

U.S. STANDARD SERIES SIEVE

10

CLEAR SQUARE SIEVE OPENINGS

3"

12"

Silts and		Sand		Gra	avel	Cobbles	Boulders
Clays	Fine	M edium	Coarse	Fine	Coarse	0000.00	

RELATIVE DENSITY

200

CONSISTENCY

3/4"

Sands and Gravels	Blows/Foot*	Silts and Clays	Blows/Foot*	Strength (tsf)**
Very Loose	0 - 4	Very Soft	0 - 2	0 - 1/4
Loose	4 - 10	Soft	2 - 4	1/4 - 1/2
M edium Dense	10 - 30	Firm Stiff	4 - 8 8 - 16	1/2 - 1 1 - 2
Dense	30 - 50	Very Stiff	16 - 32	2 - 4
Very Dense	Over 50	Hard	Over 32	Over 4

Number of Blows for a 140-pound hammer falling 30 inches, driving a 2-inch O.D. (1-3/8" I.D.) split spoon sampler.

**Unconfined compressive strength.

SYMBOLS & NOTES

Standard Penetration sampler (2" OD Split Barrel)

Shelby Tube

▲ Saturated Wet M oist Damp Dry

Increasing Visual Moisture Content

M odified California sampler (3" OD Split Barrel)

Pitcher Barrel

Constituent Percentage

California Sampler (2.5" OD Split Barrel)

HQ Core

<5% trace some 5-15% PI = Plasticity Index 16-30% with LL = Liquid Limit 31-49% R = R-Value -у



Ground Water level initially encountered

Ground Water level at end of drilling

Engineering Company, Inc.

1600 Willow Pass Court Concord, CA 94520 Tel: 925-688-1001 Fax: 925-688-1005

KEY TO EXPLORATORY BORING LOGS

MILES LANE & KIMBERLY LANE Watsonville, California

824-1	July 2018	A-1
PROJECT NO.	DATE	FIGURE NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 61 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/12/18

DEPTH TO GROUND WATER NOT Encountered	eu 🗀	DOKING D	IAIVIETER	7-inc	[1]		_ D	AIED	RILLED 06/12/18
DESCRIPTION AND CLASSIFICA	TION		DEPTH (FEET) ELEVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)	OTHER
DESCRIPTION AND REMARKS	CONSIST	T SOIL TYPE		SA	-N	SON	DRY (ONO	TESTS
CLAY (CH), dark brown, silty, some sand(fine-grained), damp.	stiff		0 — 60	X	11	27	88	3.0	At 2': Liquid Limit = 60% Plasticity Index = 43 Percent Passing #200 Sieve = 88%
CLAY (CL), brown with blue-gray mottling, silty, some sand(fine-grained), damp.	stiff		5- 	X	10	28	87	2.1	3.5.13
CLAY (CL), blue-gray, with silt, damp.	stiff		+						
CLAY (CL), brown with blue-gray mottling, silty, damp.	stiff		10 	X	14				
	very stiff	f	15 — — 45 —	X	18				
Bottom of Boring = 21.5 feet Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.			20 - 40 - +	X	20				
of the values of the portion administration of the second			25 — — 35 —						
			30						



1600 Willow Pass Court Concord, CA 94520 Tel: 925-688-1001 Fax: 925-688-1005

EXPLORATORY BORING LOG

MILES LANE & KIMBERLY LANE Watsonville, California

824-1	July 2018	SFB-1
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 46 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/12/18

DEPTH TO GROUND WATER Not Encountere	u BC	אוואט D	IAMETER /	'-ıncı	1		יט	AIED	RILLED	06/12/18
DESCRIPTION AND CLASSIFICATION			DEPTH (FEET) ELEVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)		OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE	ELE, F	SAI	ž	CON1	DRY I	ONC CNC		TESTS
CLAY (CL), brown, silty, some sand(fine-grained), trace gravel(fine, rounded), trace rootlets, dry.	stiff		0 	X	13					
CLAY (CH), olive-brown, silty, some sand(fine-grained), dry to damp.	stiff		+		8	36				
Change color to olive-brown with red-brown mottling.			5+ -40 +	X	11					
CLAY (CL), olive with blue-gray mottling, with silt, organic odor, damp.	stiff		10-	$\overline{}$						
CLAY (CL), olive with blue-gray mottling, silty, damp.	stiff		+35 +		15					
CLAY (CL)/SILT (ML) light brown with yellow-brown mottling, some silt, some sand(fine-grained), damp.	very stiff		15 —							
SILT (ML), olive-gray, sandy(fine-grained), damp. Bottom of Boring = 16.5 feet	dense		+30	\bigcap	41					
Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.			20 25 2							
			-20 - - - -							
			30 + + 15 +							
			<u> </u>							
ctevens,			EX	PL	OR/	ΛΤΟ	RY	ВО	RING I	LOG
			MILES LANE & KIMBERLY LANE Watsonville, California					NE		
concord, CA Tel: 925-688	-1001			1	Wats	onv	ıııe,	Call	iioriiia	
Bailey Engineering Company, Inc.	-1001		PROJECT N		Wats	onv	DATE			BORING NO.

EXPLORATORY BORING LOG

MILES LANE & KIMBERLY LANE Watsonville, California

824-1	July 2018	SFB-2
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 34 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/12/18

DEPTH TO GROUND WATER Not Encountered	ed BC	DRING D	IAMETER	7-in	ıch		D.	ATE D	RILLED	06/12/18
DESCRIPTION AND CLASSIFICA	TION		DEPTH (FEET) FI EVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)		OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE	<u> </u>		ż	S N	DRY ())		TESTS
CLAY (CH), dark brown, silty, some sand(fine-grained), trace gravel(fine, rounded), trace rootlets, dry.	very stiff		0		17	28	91			
Change color to gray-brown, damp.	stiff		+		13					
CLAY (CL), yellow-brown, silty, sandy(fine-grained), damp. Some sand(fine-grained).	stiff		5+3	30	10					
SAND (SM), yellow-brown, fine-grained, silty, trace clay, damp.	medium dense		+ + +		16					
			10 + 2	25	23					
CLAY (CL), yellow-brown, silty, moist.	very stiff		15 +	20	17					
			20+	15						
Bottom of Boring = 21.5 feet Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.			25-	10	20					
			30 - 5							
——————————————————————————————————————			E	EXP	LOR	ATO	RY	ВО	RING	LOG
tevens, errone & Concord, CA Tel: 925-688	A 94520 S-1001			MIL					ERLY L	ANE
Bailey Fax: 925-684	3-1005		PROJEC	T NO.			DAT	E		BORING NO.
Engineering Company, inc.		-		-1				018		



EXPLORATORY BORING LOG

MILES LANE & KIMBERLY LANE Watsonville, California

824-1	July 2018	SFB-3
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 78 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/12/18

DEPTH TO GROUND WATER Not Encountered	ed B0	ORING D	IAMET	ER :	7-incl	h		D.	ATE D	PRILLED 06/12/18
DESCRIPTION AND CLASSIFICA	TION		DEPTH	VATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)	OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE	۵		SA	ź	NOS NOS	DRY (N N	TESTS
CLAY (CH), brown, silty, some sand(fine- to medium-grained), trace rootlets, dry.	very stiff		0 –	-		23				
CLAY (CL), yellow-brown with light brown mottling, silty, sandy(fine- to medium-grained), dry.	very stiff		5-	- 75 - - -		20	13			At 3.5': Percent Passing #200 Sieve = 61%
CLAY (CL), light gray-brown, with silt, some sand(fine-grained), damp.	very stiff		- 10 <i>-</i>	70 						
SAND (SP-SM), brown, fine-grained, with silt, dry to damp.	stiff medium dense		10 — - -	- - - - 65	X	24				
			- 15 <i>-</i> -	- - -	X	26				
CLAY (CL), yellow-brown with gray-brown mottling, silty, trace sand(fine-grained), damp. Bottom of Boring = 16.5 feet Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.	very stiff		- - 20-	- 60 						
			20 - - -	- - - 55						
			- 25 - -	- -						
			- -	- 50 						
			30	- -						
			_	45 						
ctevens,				EX	PL	OR/	\TO	RY	во	RING LOG
1600 Willow Concord, CA Tel: 925-688 Fax: 925-688	A 94520 3-1001			M						ERLY LANE ifornia
Engineering Company, Inc.	0 1000		PROJ	ECT N	Ο.			DATI	E	BORING NO.



EXPLORATORY BORING LOG

824-1	July 2018	SFB-4
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 83 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/12/18

DEPTH TO GROUND WATER Not Encountered	a BC	JRING D	IAMETER /	'-ınch			יט	AIED	RILLED	06/12/18
DESCRIPTION AND CLASSIFICA	TION		DEPTH (FEET) ELEVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)		OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE		SAI	ž	CON	DRY I (I) NNC		TESTS
CLAY (CH), brown with gray mottling, silty, some sand(fine-grained), damp. With sand(fine-grained), damp. Bottom of Boring = 6.5 feet	stiff very stiff		0 — + + - 80 - 5 — +	X	11 15 18	23	98			
Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.			-75 -75 -70 -70 -7070							
Atawan s	ı		EX	PLC	OR#	ΛΤΟ	RY	во	RING	LOG
tevens, errone & 1600 Willow Concord, CA Tel: 925-688 Fax: 925-688	. 94520 -1001		M						RLY L	ANE
	5-1005		PROJECT N	O.			DATE			BORING NO.
Engineering Company, Inc.		- 1								

EXPLORATORY BORING LOG

824-1	July 2018	SFB-5
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 86 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/12/18

DEPTH TO GROUND WATER Not Encountered	ea BC	DRING D	IAMETER	/-inc	n 			AIED	PRILLED 06/12/18
DESCRIPTION AND CLASSIFICA	TION		DEPTH (FEET) ELEVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)	OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE	ELE, FI	SAN		CON.	DRY I	ONC	TESTS
Aggregate Base (AB) about 6" thick. CLAY (CH), brown, silty, with sand(fine- to medium-grained), trace rootlets, dry.	very stiff		0 + 85	X	18	18		11.6	At 2': Liquid Limit = 53% Plasticity Index = 37 Percent Passing #200
CLAY (CL), yellow-brown, sandy(fine- to medium-grained, trace coarse-grained), dry.	hard		+		36				Sieve = 77%
Dry to damp.			5+ +80		44				
CLAY (CL), brown, sandy(fine- to coarse-grained), with gravel(fine, subangular to subrounded), with silt, damp.	hard		— 80 —						
CLAY (CL), olive-brown with red-brown mottling, silty, some sand(fine-grained), trace gravel(fine, subrounded), moist.	very stiff		10 — — 75		23				
CLAY (CL), yellow-brown with olive-brown nottling, silty, moist.	very stiff		15 + 70	X	22				
Notes: Stratification is approximate, variations nust be expected. Blowcounts converted to SPT N-values. See Report for additional details.			20						
			25— —60 —						
			30 - 55						
			+						
ctevens,			E	(PL	OR/	ATO	RY	ВО	RING LOG
Terrone & Concord, CA Tel: 925-688 Fax: 925-688	. 94520 -1001		N						ERLY LANE ifornia
	J- 1000	-							
Engineering Company, Inc.			PROJECT	NO.			DATI	E	BORING NO.

EXPLORATORY BORING LOG

824-1	July 2018	SFB-6
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 34 feet	LOGGED BY RAC
DEPTH TO GROUND WATER 14 feet	BORING DIAMETER 7-inch	DATE DRILLED 06/13/18

DEPTH TO GROUND WATER 14 feet	BC	JRING DI	IAMETER	/-inci	n ———		D	AIED	RILLED 06/13/18
DESCRIPTION AND CLASSIFICA	TION		DEPTH (FEET) ELEVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)	OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE	ELE,A	SAI	ź	CON	DRY I	ONC (+	TESTS
CLAY (CH), dark brown, silty, some sand(fine- to coarse-grained), trace rootlets, dry to damp.	very stiff		0—	X	17	21	92	5.7	
CLAY (CH), gray-brown, silty, trace sand(fine-grained), trace gravel(fine, rounded), damp. Trace caliche.	very stiff		+ -30 5 + -		13	29			At 6': Liquid Limit = 62% Plasticity Index = 39 Percent Passing #200 Sieve = 97%
SAND (SM), olive-brown, fine- to medium-grained, trace coarse-grained, silty, moist.	medium dense			X	25				
Change color to gray-brown, wet. Bottom of Boring = 16.5 feet Notes: Stratification is approximate, variations			▼	X	21				
SPT N-values. See Report for additional details.			- 15 20						
			5						
			+ + + 0						
ctevens,		_	EX	PL	ORA	ATO	RY	ВО	RING LOG
to volid,		1		=	C I A	NE 2	0 VI	MRE	ERLY LANE
errone & Concord, CA	A 94520 S-1001		M						fornia
concord, CA	A 94520 S-1001		PROJECT N	1				Cali	

EXPLORATORY BORING LOG

824-1	July 2018	SFB-7
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 35 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/13/18

DEPTH TO GROUND WATER Not Encountered	ed	BORING D	IAMETER	7-inc	n		D.	AIED	RILLED 06/13/18
DESCRIPTION AND CLASSIFICA	TION		DEPTH (FEET) ELEVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)	OTHER
DESCRIPTION AND REMARKS	CONSIS	SOIL TYPE		SAI	Ż	CON	DRY.	ONC)	TESTS
CLAY (CH), dark brown, silty, with sand(fine- to coarse-grained), trace gravel(fine, subrounded), trace rootlets, dry to damp.	stiff stiff		0 35 	X	14	18	97	7.8	At 2': Liquid Limit = 52% Plasticity Index = 36 Percent Passing #200 Sieve = 81%
CLAY (CH), gray-brown, silty, damp.	stiff		+		10				Sieve – 6170
Some sand(fine- to coarse-grained), some gravel(fine, subangular to subrounded), trace caliche.			5 + 30 +	X	14				
CLAY (CL), olive-brown with red-brown mottling, some silt, trace sand(fine-grained), damp.	stiff very stif	er	10 — 25		21				
	very stri								
Bottom of Boring = 16.5 feet			15 — 20	X	20				
Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.									
			20 — 15						
			25 — 10						
			† + +						
			30 — 5						
			+++++++++++++++++++++++++++++++++++++++						
<u> </u>									



1600 Willow Pass Court Concord, CA 94520 Tel: 925-688-1001 Fax: 925-688-1005

EXPLORATORY BORING LOG

824-1	July 2018	SFB-8
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 51 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/13/18

DEFIN TO GROUND WATER INOLEHCOUNTER	50 BC		AIVIETER	7-1110				A1L D	KILLED	00/13/10
DESCRIPTION AND CLASSIFICA	TION		DEPTH (FEET) ELEVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)		OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE		SAI	ž	CON	DRY I	UNC		TESTS
CLAY (CH), dark brown, silty, some sand(fine-grained), trace rootlets, dry to damp.	stiff		50	X	9	24	84	3.0		
Change color to olive-brown, some sand(fine- to coarse-grained), dry to damp.			+		11					
CLAY (CL), yellow-brown with olive-brown mottling, silty, trace sand(fine-grained), dry to damp.	very stiff		5- -45 -		17					
			10 - 40	X	21					
CLAY (CL), olive-brown with red-brown mottling, some silt, trace sand(fine-grained), damp. Bottom of Boring = 16.5 feet	very stiff		15 35		20					
Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.			20 — 30 — 25 — 25 — 30 — 20							
			+							
ctevens,	•		EX	PL	OR/	ATO	RY	ВО	RING I	LOG
							0 1/1	MDE	RLY LA	
rerrone & 1600 Willow Concord, CA	A 94520 S-1001		M						fornia	NE
rerrone & 1600 Willow Concord, CA	A 94520 S-1001		PROJECT N					Cali	fornia	BORING NO.

EXPLORATORY BORING LOG

824-1	July 2018	SFB-9
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 57 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/13/18

DEFIN TO GROUND WATER - NOT ETICOUTIETE	tu bc	JKING D	IAIVIETER	7-1110				AIL D	MILLED 00/13/16
DESCRIPTION AND CLASSIFICA	TION		DEPTH (FEET) ELEVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)	OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE	DI (F	SAI	Ż	CON	DRY I	ONC	TESTS
SAND (SC), yellow-brown, fine- to coarse-grained, some gravel(fine, subangular to subrounded), with silt and clay, trace rootlets, dry. SAND (SC), brown, fine- to coarse-grained, clayey, some sandstone fragments, damp. Change color to brown with red-brown mottling, with gravel(fine, angular to subrounded).	dense medium dense		0 — — 55 — 55 — 5 —		32 24 18	8	108		At 2': Percent Passing #200 Sieve = 25%
CLAY (CL), yellow-brown with dark brown mottling, some sand(fine-grained), trace silt, damp.	very stiff		— 50 —		S				
Change color to olive-brown.			10— — 45 — — 15—		17				
CLAY (CL), olive-brown, some silt, some sand(fine-grained), moist.	very stiff		+40 +						
CLAY (CL), red-yellow with olive-brown mottling, silty, damp.	very stiff		20 —	X	20				
Bottom of Boring = 21.5 feet Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.			- 35 - - 25 - - - - 30						
			30 25						
A tevens	ı		E	XPL	OR/	ATO	RY	ВО	RING LOG
tevens, errone & Concord, CA Tel: 925-688	. 94520 -1001		ı						ERLY LANE Ifornia
Tel: 925-688 Fax: 925-688 Fax: 925-688	3-1005		PROJECT	NO.			DATI	E	BORING NO.

EXPLORATORY BORING LOG

824-1	July 2018	SFB-10
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 73 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/13/18

DEFIN TO GROUND WATER NOT ENCOUNTERED	и вс	JKING D	IAIVILIL	-1\ /	-IIICI	<u> </u>			AIL D	KILLED 00/13/10
DESCRIPTION AND CLASSIFICAT	ATION		DEPTH (FEET)	VATIÓN	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)	OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE			SA	ż	SON	DRY (N N	TESTS
SAND (SC/SM), gray-brown, fine- to medium-grained, with silt and clay, trace silt, trace rootlets, damp.	medium dense		0-		X	20	10	101		At 2': Percent Passing #200 Sieve = 22%
SAND (SC), brown, fine- to medium-grained, clayey, trace silt, damp.	medium dense		Ī	-70	Ш	13				
With coarse-grained, some clay and silt.			5-		X	20	15	110		At 6': Percent Passing #200 Sieve = 12%
CLAY (CL), light brown and olive-brown, silty,	stiff		+	-65						
some sand(fine-grained), damp.	o		10			8			3.0	
Trace cand/coarse grained) trace gravel/fir -			15	-60 -						
Trace sand(coarse-grained), trace gravel(fine, rounded).			_	- - 55	X	15				
	hard		20		X	18			8.0	
Bottom of Boring = 21.5 feet Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.		<i>(///////</i>	+	- -50						
			25							
			30	-45						
			30 —							
			†	-40						
Otevens				EX	PL	ORA	ATO	RY	во	RING LOG
tevens, errone & Concord, CA Tel: 925-688-	94520 1001			M						RLY LANE fornia
	-1005	1								
Tel: 925-688- Fax: 925-688			PROJE	CT N	Ο.			DATE		BORING NO.

EXPLORATORY BORING LOG

824-1	July 2018	SFB-11
PROJECT NO.	DATE	BORING NO.

DRILL RIG Geoprobe 7822DT, HSA	SURFACE ELEVATION 76 feet	LOGGED BY RAC
DEPTH TO GROUND WATER Not Encountered	BORING DIAMETER 7-inch	DATE DRILLED 06/13/18

DEPTH TO GROUND WATER Not Encountered	eu BC	JKING DI	AMETER I	'-inc				AIED	RILLED 06/13/18
DESCRIPTION AND CLASSIFICA	TION		DEPTH (FEET) ELEVATION	SAMPLER	SPT N-VALUE	WATER CONTENT (%)	DRY DENSITY (PCF)	UNC. COMP. (KSF)	OTHER
DESCRIPTION AND REMARKS	CONSIST	SOIL TYPE	ELE, (F	SAI	ź	CON	DRY I	UNC	TESTS
Asphalt Concrete (AC) about 4" thick. Aggregate Base (AB) about 5" thick.	,		75						
FILL: CLAY (CH), dark brown, silty, some sand(fine- to coarse-grained), damp.	firm		1	X	7				
CLAY (CL), olive-brown, silty, some sand(fine-grained), damp.	stiff		+		8	33			
Change color to light brown with red-brown mottling.			5+ -70 -	X	12	39	81	3.6	
	very stiff		10 65	X	18			6.0	
	stiff		15 — — 60	X	11				
CLAY (CL), olive with gray-brown mottling, some sand(fine-grained), some silt, moist.	stiff		20 —		15				
Bottom of Boring = 21.5 feet Notes: Stratification is approximate, variations must be expected. Blowcounts converted to SPT N-values. See Report for additional details.			+ 55 + + +		10				
			25 - - 50 - +						
			30 —						
			+45 + +						
ctevens,			EX	PL	OR/	ATO	RY	во	RING LOG
Terrone & Concord, CA	A 94520 S-1001		M						ERLY LANE ifornia
Failey Engineering Company, Inc.	3-1005		PROJECT N	0.			DATE		BORING NO.
Engineering company, inc.			824-1			Ju	ly 2	018	SFB-12

EXPLORATORY BORING LOG

824-1	July 2018	SFB-12
PROJECT NO.	DATE	BORING NO.

APPENDIX B

Laboratory Investigation

Miles Lane & Kimberly Lane, 824-1.rpt July 12, 2018

APPENDIX B

Laboratory Investigation

Our laboratory testing program for the proposed new mixed-use facility to be located at the eastern corner of Miles Lane and Kimberly Lane in Watsonville, California, was directed toward a quantitative and qualitative evaluation of the physical and mechanical properties of the soils underlying the site.

The natural water content was determined on sixteen samples of the subsurface soils. The water contents are recorded on the boring logs at the appropriate sample depths.

Dry density determination was performed on twelve samples of the subsurface soils to evaluate their physical properties. The results of the tests are shown on the boring logs at the appropriate sample depths.

Atterberg Limit determinations were performed on four samples of the subsurface soils to determine the range of water content over which these materials exhibit plasticity. These values are used to classify the soil in accordance with the Unified Soil Classification System and to indicate the soil's compressibility and expansion potentials. The results of the tests are presented on the boring logs at the appropriate sample depths and are also attached to this appendix.

The percent passing the #200 sieve was determined on eight samples of the subsurface soils. These tests were performed to assist in the classification of the soils. The results of the tests are presented on the boring logs at the appropriate sample depths.

Unconfined compression testing was performed on seven relatively undisturbed samples of the subsurface soils to evaluate the undrained shear strengths of these materials. Failure was taken as the peak normal stress. The results of the tests are presented on the boring logs at the appropriate sample depths and are also attached to this appendix.

Two onsite soil samples were tested for pH (ASTM D4972), chlorides (ASTM D4327), sulfates (ASTM D4327), sulfides (ASTM D4658M), resistivity at 100% saturation (ASTM G57), and Redox potential (ASTM D1498) for use in evaluating the potential for corrosion on concrete and buried metal such as utilities and reinforcing steel. The results of these tests are included in this appendix. We recommend these test results be forwarded to your underground contractors, pipeline designers, and foundation designers and contractors.

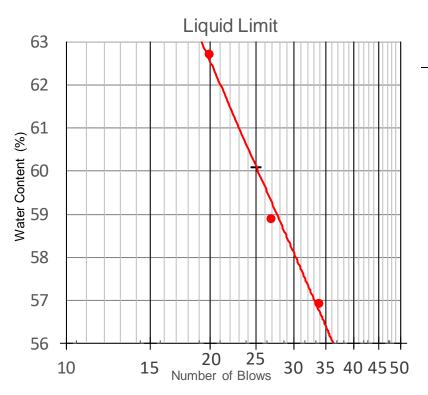


Atterberg Limits Test – ASTM D4318

Project Number: 824-1 **Project Name:** Mixed Use Facility, Miles Lane

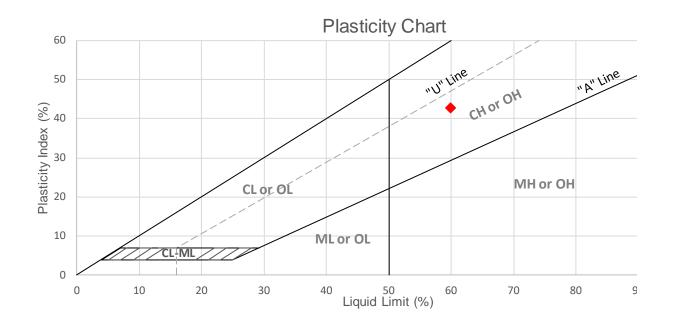
Boring/Sample No: B-1 Depth: 2 Date: 07-02-18

Description of Sample: Dark brown silty CLAY some sand (CH) **Tested By** R



Plastic Lin	nit Data			
Trial	1	2	Ave	
Water Content (%)	17.1	16.7	17	

10.7	17.1	valer Content (70)
	mmary	Data Sui
60	id Limit	Liqui
17	ic Limit	Plasti
43	y Index	Plasticity
26.7	Content	Natural Water (
0.266	ty Index	Liquidit
87.6) Sieve	% Passing #200



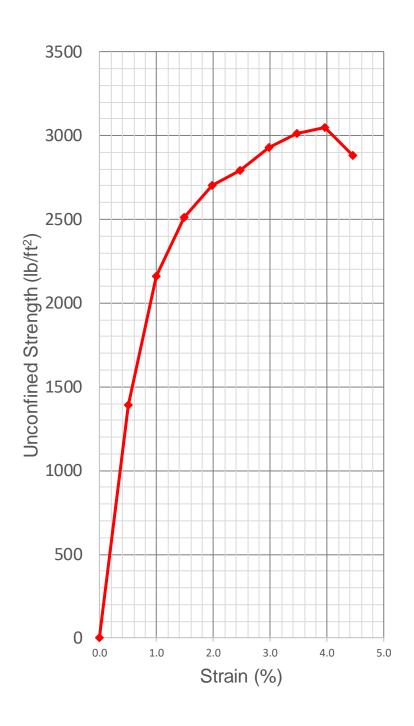


<u>UNCONFINED COMPRESSIVE STRENGTH - D2166</u>

Project Number: 824-1 Boring #: B-1 Depth: 2

Project Name: Mixed Use Facility, Miles Lane **Date:** 6/29/2018

Description: Dark brown silty CLAY some sand (CH) **Tested By:** R



Soil Specimen Initial Measurements Diameter 2.42 in Initial Area 4.60 in² Initial Length 5.05 in

Volume 0.01344 ft³
Water Content 26.7
Wet Density 111.8 pcf

Dry Density 88.2 pcf

Max Unconfined Compressive Strength

Elapsed Time 4 min
Vertical Dial 0.2 in
Strain 4.0 %
Area 0.03326 ft²
Axial Load 101.4 lbs
Compressive Strength 3,049 psf

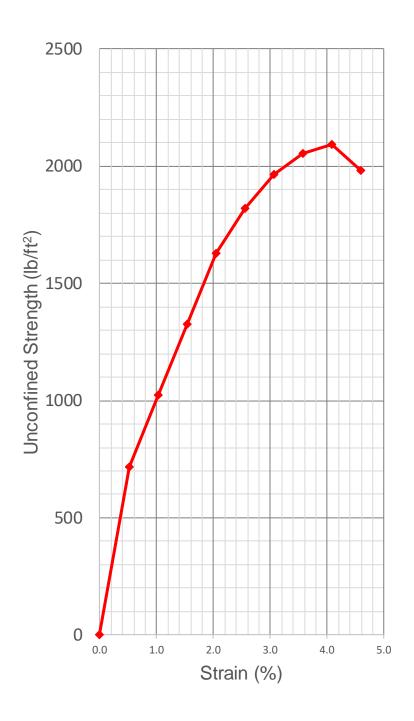


<u>UNCONFINED COMPRESSIVE STRENGTH – D2166</u>

Project Number: 824-1 Boring #: B-1 Depth: 6

Project Name: Mixed Use Facility, Miles Lane **Date:** 6/29/2018

Description: Gray brown silty CLAY some sand (CL) **Tested By:** R



Soil Specimen Initial Measurements

Micasurcincins		
Diameter	2.42 in	
Initial Area	4.60 in ²	
Initial Length	4.9 in	
Volume	0.01304 ft ³	
Water Content	27.5	
Wet Density	111.2 pcf	
Dry Density	87.2 pcf	

Compressive Strength		
Elapsed Time	4 min	
Vertical Dial	0.2 in	
Strain	4.1 %	
Area	0.03330 ft ²	
Axial Load	69.7 lbs	
Compressive Strength	2,110 psf	

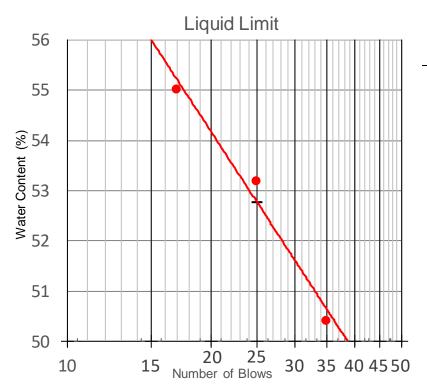


Atterberg Limits Test - ASTM D4318

Project Number: 824-1 **Project Name:** Mixed Use Facility, Miles Lane

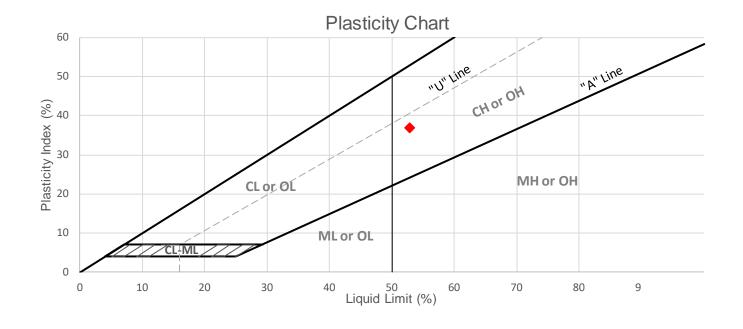
Boring/Sample No: B-6 Depth: 2 Date: 07-02-18

Description of Sample: Brown silty CLAY with sand (CH) **Tested By** R



Plastic Lin	nit Data			
Trial	1	2	Ave	
Water Content (%)	15.7	15.7	16	-

13.7	13.7	rvator Contont (70)
	mmary	Data Sui
53	d Limit	Liqui
16	ic Limit	Plasti
37	/ Index	Plasticity
17.6	Content	Natural Water (
0.043	y Index	Liquidit
77.1) Sieve	% Passing #200



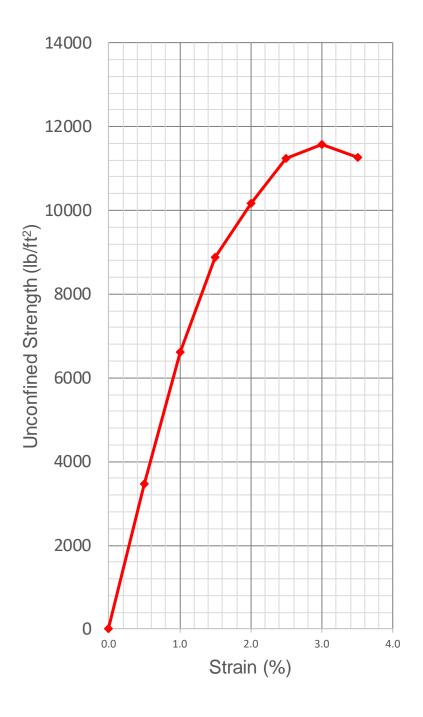


<u>UNCONFINED COMPRESSIVE STRENGTH - D2166</u>

Project Number: 824-1 Boring #: B-6 Depth: 2

Project Name: Mixed Use Facility, Miles Lane Date: 6/29/2018

Description: Brown silty CLAY with sand (CH) **Tested By:** R



Soil Specimen Initial Measurements Diameter 2.42 in 4.60 in² Initial Area Initial Length 5 in 0.01331 ft³ Volume Water Content 17.6 127.0 pcf Wet Density **Dry Density** 108.0 pcf

Max Unconfined			
Compressiv	Compressive Strength		
Elapsed Time	3 min		
Vertical Dial	0.15 in		
Strain	3.0 %		
Area	0.03293 ft ²		
Axial Load	381.4 lbs		
Compressive Strength	11,581 psf		

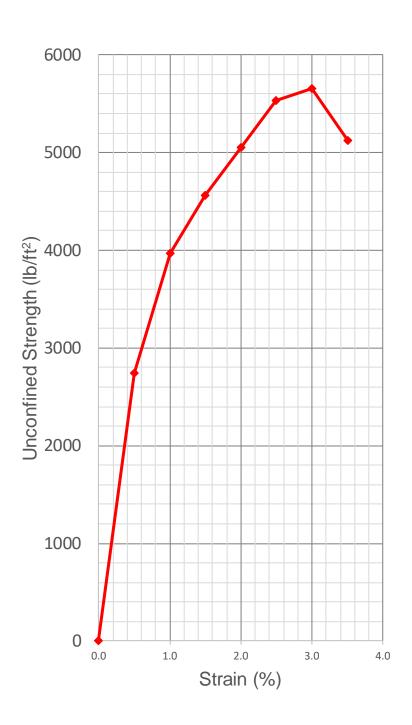


<u>UNCONFINED COMPRESSIVE STRENGTH – D2166</u>

Project Number: 824-1 Boring #: B-7 Depth: 1.5

Project Name: Mixed Use Facility, Miles Lane Date: 6/29/2018

Description: Dark brown silty CLAY some sand (CH) **Tested By:** R



Soil Specimen Initial Measurements

Micasarcificita		
Diameter	2.42 in	
Initial Area	4.60 in ²	
Initial Length	5 in	
Volume	0.01331 ft ³	
Water Content	21.4	
Wet Density	112.0 pcf	
Dry Density	92.2 pcf	

Compressive Strength		
Elapsed Time	3 min	
Vertical Dial	0.15 in	
Strain	3.0 %	
Area	0.03293 ft ²	
Axial Load	186.4 lbs	
Compressive Strength	5,660 psf	

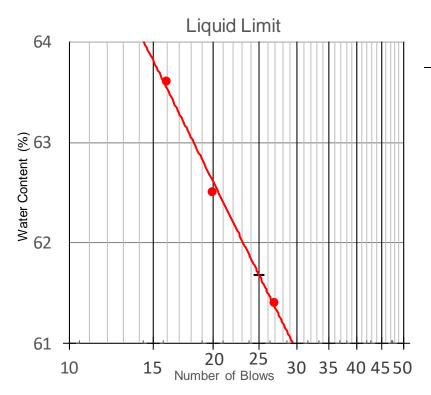


Atterberg Limits Test - ASTM D4318

Project Number: 824-1 **Project Name:** Mixed Use Facility, Miles Lane

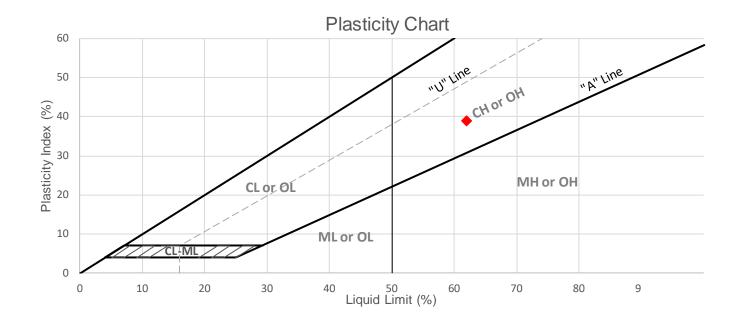
Boring/Sample No: B-7 Depth: 6 Date: 07-02-18

Description of Sample: Brown silty CLAY trace sand (CH) **Tested By** R



Plastic Lin	nit Data		
Trial	1	2	Ave
Water Content (%)	22.6	22.4	23
Data Sur	nmary		

Data Summary	
Liquid Limit	62
Plastic Limit	23
Plasticity Index	39
Natural Water Content	29.0
Liquidity Index	0.154
% Passing #200 Sieve	96.5



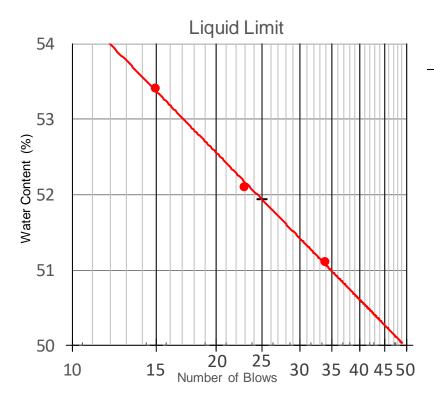


Atterberg Limits Test - ASTM D4318

Project Number: 824-1 **Project Name:** Mixed Use Facility, Miles Lane

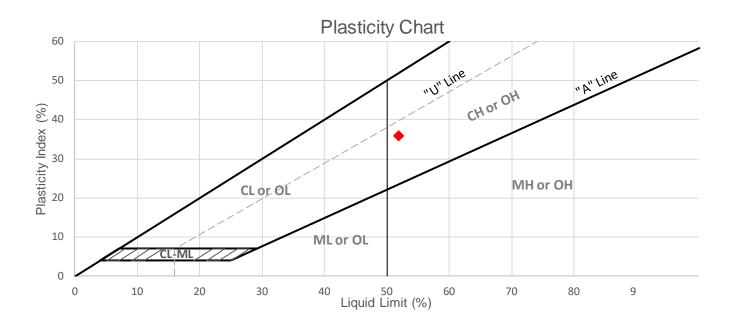
Boring/Sample No: B-8 Depth: 2 Date: 07-02-18

Description of Sample: Dark brown silty CLAY with sand (CH) **Tested By** R



Plastic Lin	nit Data			
Trial	1	2	Ave	
Water Content (%)	16.0	15.1	16	

Data Summary	
Liquid Limit	52
Plastic Limit	16
Plasticity Index	36
Natural Water Content	17.9
Liquidity Index	0.053
% Passing #200 Sieve	81.2



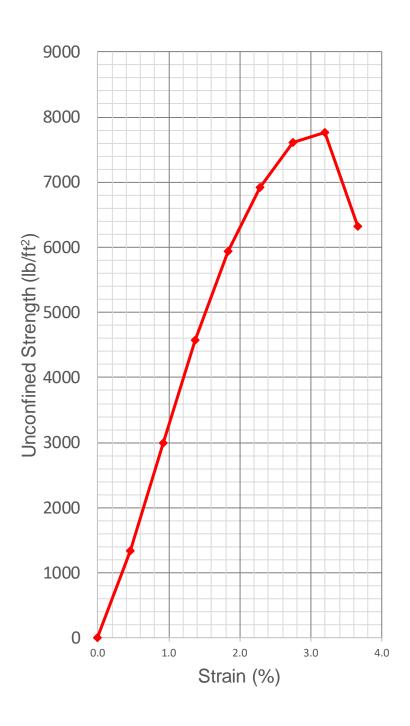


<u>UNCONFINED COMPRESSIVE STRENGTH - D2166</u>

Project Number: 824-1 Boring #: B-8 Depth: 2

Project Name: Mixed Use Facility, Miles Lane Date: 6/29/2018

Description: Dark brown silty CLAY with sand (CH) **Tested By:** R



Soil Specimen Initial Measurements

ivieasurei	illelits
Diameter	2.42 in
Initial Area	4.60 in ²
Initial Length	5.47 in
Volume	0.01456 ft ³
Water Content	17.9
Wet Density	114.3 pcf
Dry Density	97.0 pcf

e Strength
3.5 min
0.175 in
3.2 %
0.03300 ft ²
256.4 lbs
7,770 psf

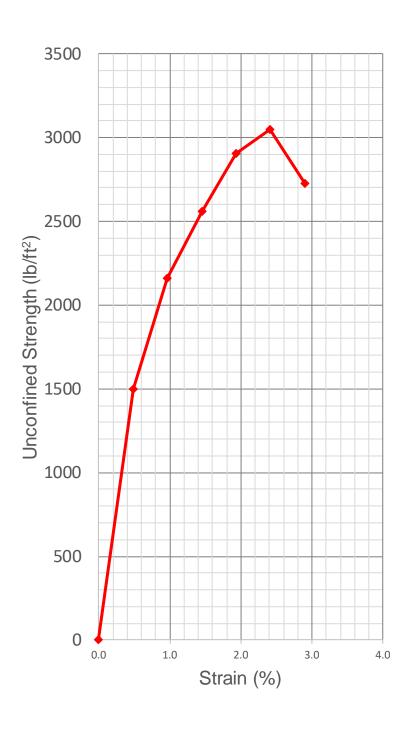


<u>UNCONFINED COMPRESSIVE STRENGTH – D2166</u>

Project Number: 824-1 Boring #: B-9 Depth: 2

Project Name: Mixed Use Facility, Miles Lane Date: 6/29/2018

Description: Brown silty CLAY some sand (CH) **Tested By:** R



Soil Specimen Initial Measurements

IVICUSUIC	ilicitis
Diameter	2.42 in
Initial Area	4.60 in ²
Initial Length	5.18 in
Volume	0.01379 ft ³
Water Content	23.9
Wet Density	104.5 pcf
Dry Density	84.4 pcf

Compressiv	ve Strength
Elapsed Time	2.5 min
Vertical Dial	0.125 in
Strain	2.4 %
Area	0.03273 ft ²
Axial Load	99.7 lbs
Compressive Strength	3,046 psf

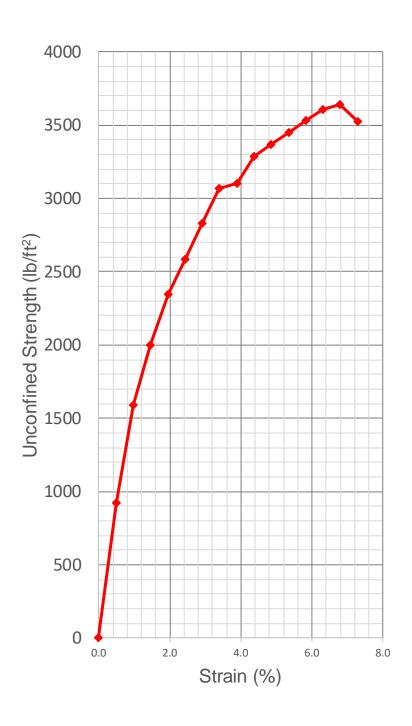


<u>UNCONFINED COMPRESSIVE STRENGTH – D2166</u>

Project Number: 824-1 Boring #: B-12 Depth: 6

Project Name: Mixed Use Facility, Miles Lane Date: 6/29/2018

Description: Light brown silty CLAY some sand (CH) **Tested By:** R



Soil Specimen Initial Measurements

ivieasure	IIIEIILS
Diameter	2.42 in
Initial Area	4.60 in ²
Initial Length	5.15 in
Volume	0.01371 ft ³
Water Content	39.4
Wet Density	113.0 pcf
Dry Density	81.1 pcf

Compressive	e Strength
Elapsed Time	7 min
Vertical Dial	0.35 in
Strain	6.8 %
Area	0.03427 ft ²
Axial Load	124.7 lbs
Compressive Strength	3,638 psf

CERCO
analytical

Client: Stevens, Ferrone & Bailey
Client's Project No.: SFB 824-1
Client's Project Name: Mixed Use Facility, Miles Lane

Date Sampled: 12-Jun-18

Date Received: 19-Jun-18

Matrix: Soil

Authorization: Signed Chain of Custody

Resistivity

Date of Report: 27-Jun-2018

(mg/kg)* Sulfate 130 N.D. Chloride (mg/kg)* 430 N.D. Sulfide (mg/kg)* N.D. N.D. (100% Saturation) (ohms-cm) 1,200 390 Conductivity (umpos/cm)* 6.46 7.52 Redox (mV) 390 420 SFB-2 @ 1.5' SFB-4 @ 1.5' Sample I.D. Job/Sample No. 1806105-002 1806105-001

Method:	ASTM D1498	ASTM D4972	ASTM D4972 ASTM D1125M	ASTM G57	ASTM D4658M	ASTM D4658M ASTM D4327	TOTAL META
					MICCOLD TATEOUT	17C+O MICO	ASTM D452/
Reporting Limit:	•		10		50	15	15
						2.1	1.0
Date Analyzed:	22-Jun-2018	22-Jun-2018	1	26-Jun-2018	22-Jun-2018	22-Inn-2018	22-Tun-2018
						san	24-Jul-2010

* Results Reported on "As Received" Basis

N.D. - None Detected

Cheryl McMillen

Laboratory Director

Quality Control Summary - All laboratory quality control parameters were found to be within established limits



Important Information about Your

Geotechnical Engineering Report

Subsurface problems are a principal cause of construction delays, cost overruns, claims, and disputes.

While you cannot eliminate all such risks, you can manage them. The following information is provided to help.

Geotechnical Services Are Performed for Specific Purposes, Persons, and Projects

Geotechnical engineers structure their services to meet the specific needs of their clients. A geotechnical engineering study conducted for a civil engineer may not fulfill the needs of a construction contractor or even another civil engineer. Because each geotechnical engineering study is unique, each geotechnical engineering report is unique, prepared solely for the client. No one except you should rely on your geotechnical engineering report without first conferring with the geotechnical engineer who prepared it. And no one — not even you — should apply the report for any purpose or project except the one originally contemplated.

Read the Full Report

Serious problems have occurred because those relying on a geotechnical engineering report did not read it all. Do not rely on an executive summary. Do not read selected elements only.

A Geotechnical Engineering Report Is Based on A Unique Set of Project-Specific Factors

Geotechnical engineers consider a number of unique, project-specific factors when establishing the scope of a study. Typical factors include: the client's goals, objectives, and risk management preferences; the general nature of the structure involved, its size, and configuration; the location of the structure on the site; and other planned or existing site improvements, such as access roads, parking lots, and underground utilities. Unless the geotechnical engineer who conducted the study specifically indicates otherwise, do not rely on a geotechnical engineering report that was:

- · not prepared for you,
- not prepared for your project,
- not prepared for the specific site explored, or
- completed before important project changes were made.

Typical changes that can erode the reliability of an existing geotechnical engineering report include those that affect:

 the function of the proposed structure, as when it's changed from a parking garage to an office building, or from a light industrial plant to a refrigerated warehouse,

- elevation, configuration, location, orientation, or weight of the proposed structure,
- composition of the design team, or
- project ownership.

As a general rule, *always* inform your geotechnical engineer of project changes—even minor ones—and request an assessment of their impact. *Geotechnical engineers cannot accept responsibility or liability for problems that occur because their reports do not consider developments of which they were not informed.*

Subsurface Conditions Can Change

A geotechnical engineering report is based on conditions that existed at the time the study was performed. *Do not rely on a geotechnical engineering report* whose adequacy may have been affected by: the passage of time; by man-made events, such as construction on or adjacent to the site; or by natural events, such as floods, earthquakes, or groundwater fluctuations. *Always* contact the geotechnical engineer before applying the report to determine if it is still reliable. A minor amount of additional testing or analysis could prevent major problems.

Most Geotechnical Findings Are Professional Opinions

Site exploration identifies subsurface conditions only at those points where subsurface tests are conducted or samples are taken. Geotechnical engineers review field and laboratory data and then apply their professional judgment to render an opinion about subsurface conditions throughout the site. Actual subsurface conditions may differ—sometimes significantly—from those indicated in your report. Retaining the geotechnical engineer who developed your report to provide construction observation is the most effective method of managing the risks associated with unanticipated conditions.

A Report's Recommendations Are *Not* Final

Do not overrely on the construction recommendations included in your report. *Those recommendations are not final,* because geotechnical engineers develop them principally from judgment and opinion. Geotechnical engineers can finalize their recommendations only by observing actual

subsurface conditions revealed during construction. The geotechnical engineer who developed your report cannot assume responsibility or liability for the report's recommendations if that engineer does not perform construction observation.

A Geotechnical Engineering Report Is Subject to Misinterpretation

Other design team members' misinterpretation of geotechnical engineering reports has resulted in costly problems. Lower that risk by having your geotechnical engineer confer with appropriate members of the design team after submitting the report. Also retain your geotechnical engineer to review pertinent elements of the design team's plans and specifications. Contractors can also misinterpret a geotechnical engineering report. Reduce that risk by having your geotechnical engineer participate in prebid and preconstruction conferences, and by providing construction observation.

Do Not Redraw the Engineer's Logs

Geotechnical engineers prepare final boring and testing logs based upon their interpretation of field logs and laboratory data. To prevent errors or omissions, the logs included in a geotechnical engineering report should *never* be redrawn for inclusion in architectural or other design drawings. Only photographic or electronic reproduction is acceptable, *but recognize that separating logs from the report can elevate risk*.

Give Contractors a Complete Report and Guidance

Some owners and design professionals mistakenly believe they can make contractors liable for unanticipated subsurface conditions by limiting what they provide for bid preparation. To help prevent costly problems, give contractors the complete geotechnical engineering report, *but* preface it with a clearly written letter of transmittal. In that letter, advise contractors that the report was not prepared for purposes of bid development and that the report's accuracy is limited; encourage them to confer with the geotechnical engineer who prepared the report (a modest fee may be required) and/or to conduct additional study to obtain the specific types of information they need or prefer. A prebid conference can also be valuable. *Be sure contractors have sufficient time* to perform additional study. Only then might you be in a position to give contractors the best information available to you, while requiring them to at least share some of the financial responsibilities stemming from unanticipated conditions.

Read Responsibility Provisions Closely

Some clients, design professionals, and contractors do not recognize that geotechnical engineering is far less exact than other engineering disciplines. This lack of understanding has created unrealistic expectations that

have led to disappointments, claims, and disputes. To help reduce the risk of such outcomes, geotechnical engineers commonly include a variety of explanatory provisions in their reports. Sometimes labeled "limitations" many of these provisions indicate where geotechnical engineers' responsibilities begin and end, to help others recognize their own responsibilities and risks. *Read these provisions closely.* Ask questions. Your geotechnical engineer should respond fully and frankly.

Geoenvironmental Concerns Are Not Covered

The equipment, techniques, and personnel used to perform a *geoenviron-mental* study differ significantly from those used to perform a *geotechnical* study. For that reason, a geotechnical engineering report does not usually relate any geoenvironmental findings, conclusions, or recommendations; e.g., about the likelihood of encountering underground storage tanks or regulated contaminants. *Unanticipated environmental problems have led to numerous project failures*. If you have not yet obtained your own geoenvironmental information, ask your geotechnical consultant for risk management guidance. *Do not rely on an environmental report prepared for someone else*.

Obtain Professional Assistance To Deal with Mold

Diverse strategies can be applied during building design, construction, operation, and maintenance to prevent significant amounts of mold from growing on indoor surfaces. To be effective, all such strategies should be devised for the express purpose of mold prevention, integrated into a comprehensive plan, and executed with diligent oversight by a professional mold prevention consultant. Because just a small amount of water or moisture can lead to the development of severe mold infestations, a number of mold prevention strategies focus on keeping building surfaces dry. While groundwater, water infiltration, and similar issues may have been addressed as part of the geotechnical engineering study whose findings are conveyed in this report, the geotechnical engineer in charge of this project is not a mold prevention consultant; none of the services performed in connection with the geotechnical engineer's study were designed or conducted for the purpose of mold prevention. Proper implementation of the recommendations conveyed in this report will not of itself be sufficient to prevent mold from growing in or on the structure involved.

Rely on Your ASFE-Member Geotechnical Engineer for Additional Assistance

Membership in ASFE/The Geoprofessional Business Association exposes geotechnical engineers to a wide array of risk management techniques that can be of genuine benefit for everyone involved with a construction project. Confer with your ASFE-member geotechnical engineer for more information.



8811 Colesville Road/Suite G106, Silver Spring, MD 20910 Telephone: 301/565-2733 Facsimile: 301/589-2017 e-mail: info@asfe.org www.asfe.org

Copyright 2004 by ASFE, Inc. Duplication, reproduction, or copying of this document, in whole or in part, by any means whatsoever, is strictly prohibited, except with ASFE's specific written permission. Excepting, quoting, or otherwise extracting wording from this document is permitted only with the express written permission of ASFE, and only for purposes of scholarly research or book review. Only members of ASFE may use this document as a complement to or as an element of a geotechnical engineering report. Any other firm, individual, or other entity that so uses this document without being an ASFE member could be committing negligent or intentional (fraudulent) misrepresentation.